

HERCULES School 2019 — Grenoble, FRANCE

Introduction to Inelastic Scattering

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Outline

- ❖ **1 General concepts**
 - From elastic to inelastic scattering**
 - Conservation laws and consequences
- ❖ **2 Inelastic Neutron Scattering**
 - Nuclear Interaction
 - Magnetic Interaction
- ❖ **3 Inelastic X-ray Scattering**

Elastic Scattering



Sample

Elastic Scattering

Incident plane wave

\vec{k}_i



Sample

Scattered plane wave

\vec{k}_f

Elastic Scattering

Wave vector

$$\vec{q} = \vec{k}_f - \vec{k}_i$$

q



Incident plane wave

\vec{k}_i

Sample

Scattered plane wave

\vec{k}_f

$$|\vec{k}_f| = |\vec{k}_i|$$

$$E_f = E_i$$

Elastic Scattering

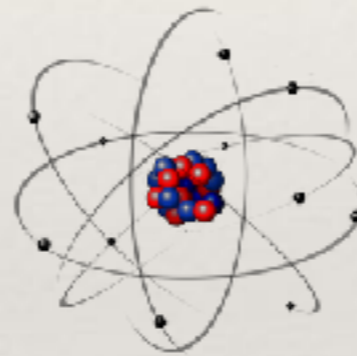
X-rays

interact with :

e⁻ charge

e⁻ spin

e⁻ orbital momentum



Neutron

interact with :

nucleus

e⁻ spin

e⁻ orbital momentum

Why Inelastic Scattering ?

- ❖ Dynamical aspects are responsible for some properties (thermal conductivity etc...)
- ❖ Gives access to Hamiltonian parameters and why the ground state is stable

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Momentum conservation

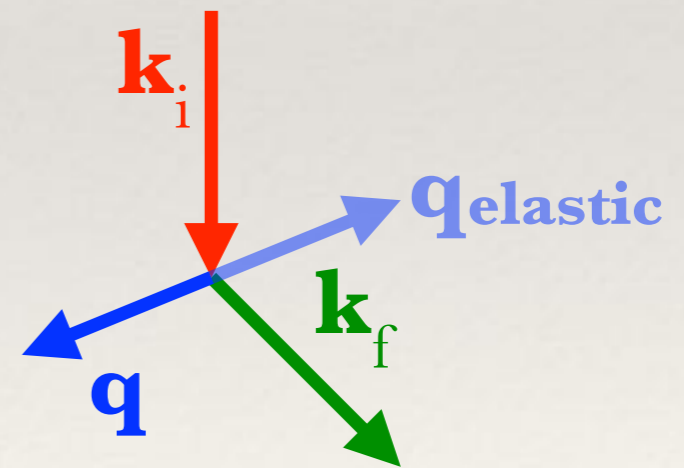
- ❖ For both neutron and X rays, the momentum writes :

$$\vec{p} = \hbar \vec{k}$$

- ❖ The wave vector (transferred momentum to the sample) thus writes

$$\vec{q} = \vec{k}_i - \vec{k}_f$$

Note that $\vec{q}_{inelastic} = -\vec{q}_{elastic}$



Momentum conservation

- ❖ Minimum value of wave vector

$$\vec{q}_{min} = (k_i - k_f) \tilde{k}_i \Big|_{\omega=0} = \vec{0}$$



Momentum conservation

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- ❖ Maximum value of wave vector

$$\vec{q}_{max} = (k_i + k_f) \tilde{k}_i \Big|_{\omega=0} = 2\vec{k}_i$$



Momentum conservation

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- ❖ Maximum value of wave vector

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- ❖ Visible photons ($\lambda=500\text{nm}$, $\hbar\omega=2.5\text{ eV}$) : $|\vec{k}_i| = 10^{-3}\text{ \AA}^{-1}$
- ❖ X-rays photons ($\lambda=14\text{ \AA}$, $\hbar\omega=900\text{ eV}$) : $|\vec{k}_i| = 1\text{ \AA}^{-1}$
- ❖ Neutrons ($\lambda=2.36\text{ \AA}$, $\hbar\omega=15\text{ meV}$) : $|\vec{k}_i| = 1\text{ \AA}^{-1}$
- ❖ Typical Brillouin zone ($a=5\text{ \AA}$) : $|\vec{q}_x| = 1\text{ \AA}^{-1}$

Energy conservation

- ❖ Energy for neutrons writes :

$$\hbar\omega_i = \frac{p_i^2}{2m} = \frac{\hbar^2 k_i^2}{2m}$$

- ❖ Energy transferred to the sample by a neutron thus writes :

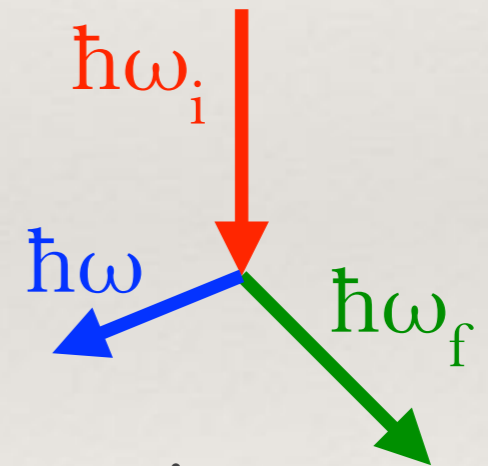
$$\hbar\omega = \hbar\omega_i - \hbar\omega_f = \frac{\hbar^2}{2m}(k_i^2 - k_f^2)$$

- ❖ Energy for photons writes :

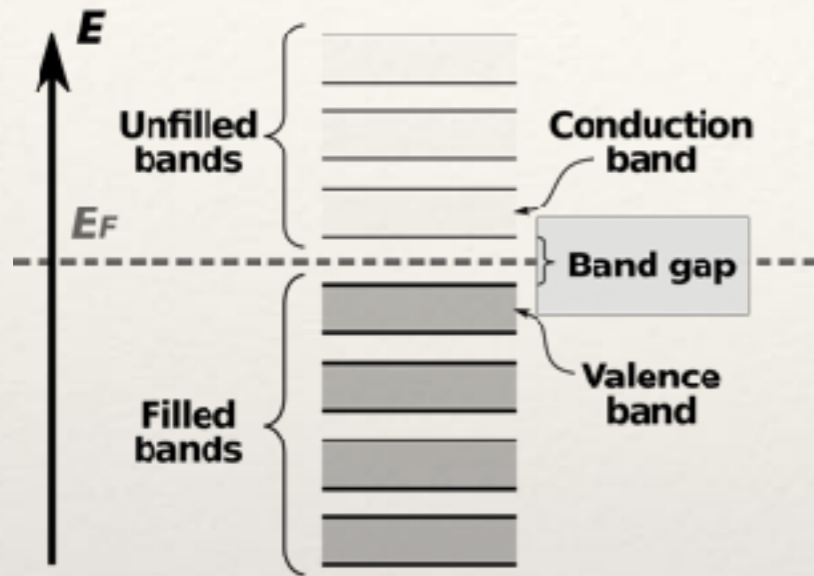
$$\hbar\omega_i = p_i c = \hbar c k_i$$

- ❖ Energy transferred to the sample by a photon thus writes :

$$\hbar\omega = \hbar\omega_i - \hbar\omega_f = \hbar c(k_i - k_f)$$

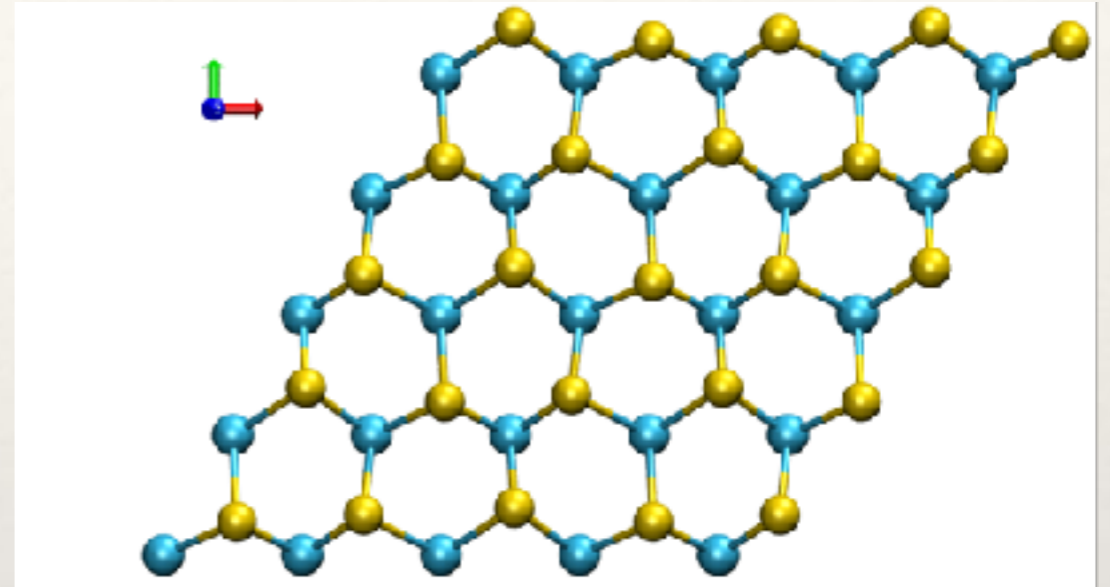


Energy conservation

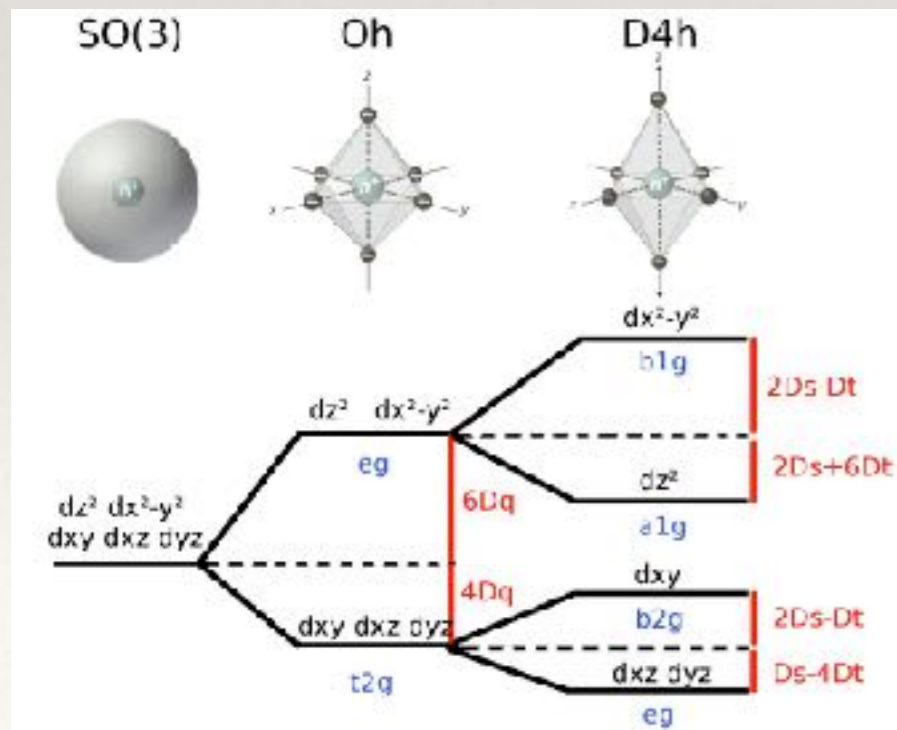


$e-h$ excitation

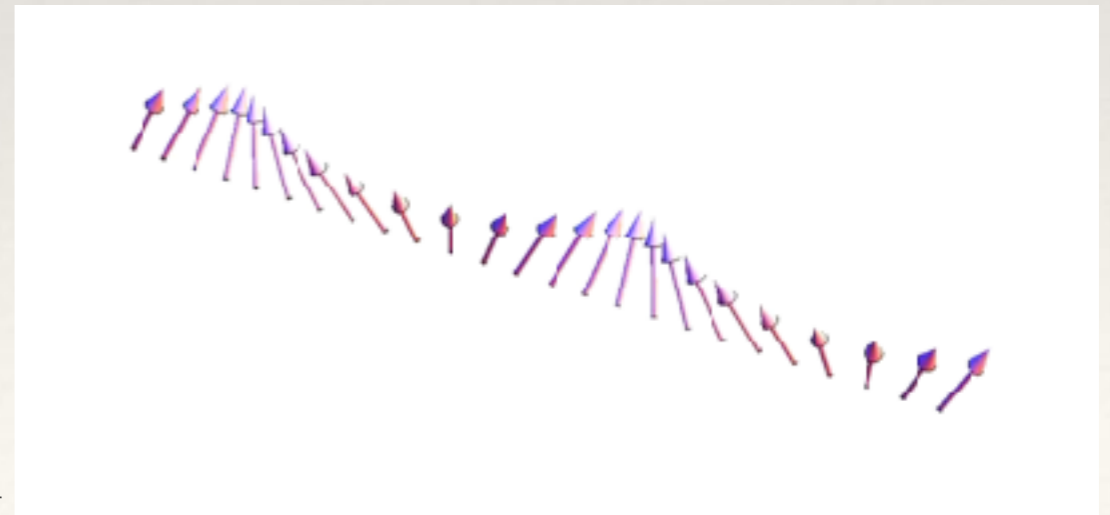
Phonon



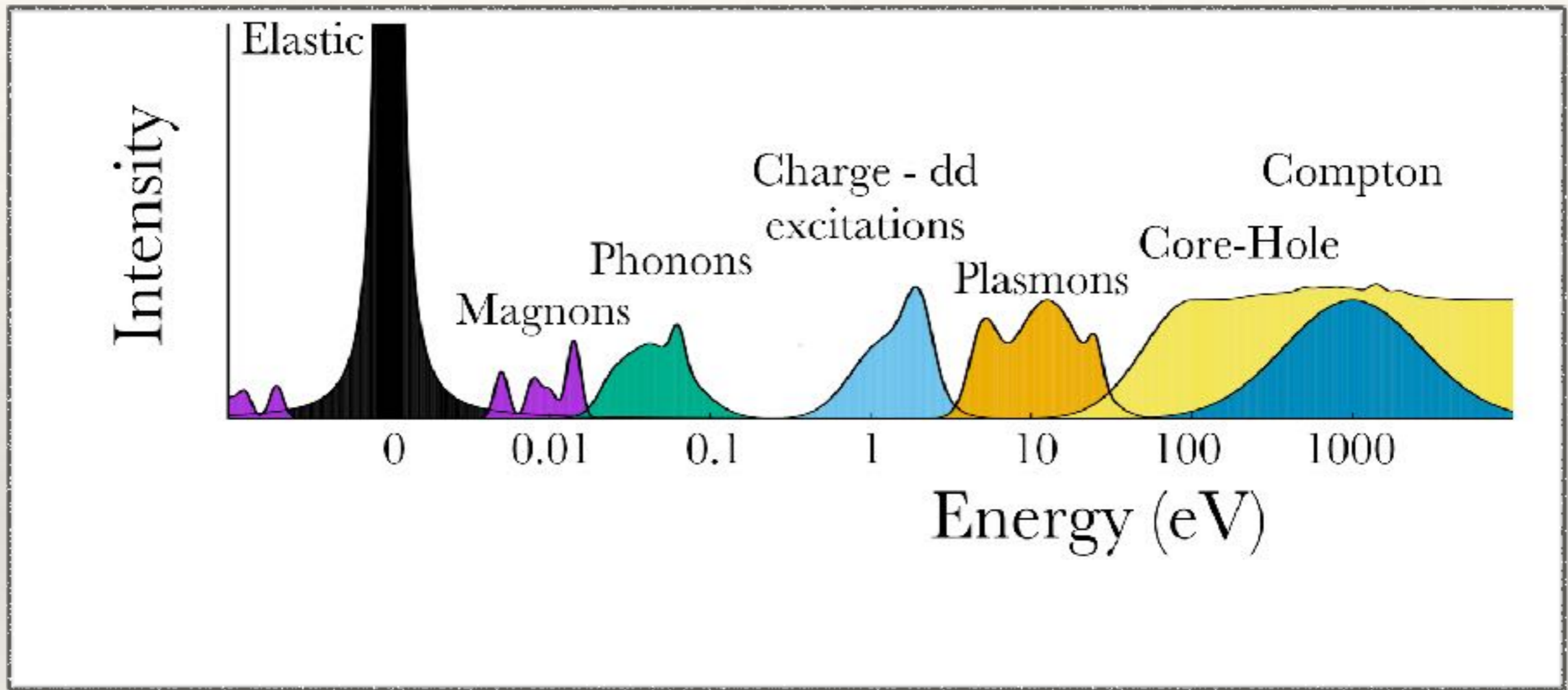
Crystal Field



Magnon



Energy conservation



Excitation	Crystal Field	Magnon	Phonon	<i>d-d & e-h</i>	Plasmon	Core hole
Energy	~ 1 meV	~ 10 meV	10-100 meV	~1 eV	~ 10 eV	0.1 - 100 keV

Energy conservation

Technique	Brillouin	Raman	Neutron Scattering	Infrared	IXS	RIXS
Probe	Photon (Visible)	Photon (Visible)	Neutron	Photon	Photon (X-ray)	Photon (X-ray)
Particule Energy	~1 eV	~1 eV	1 - 150 meV	1 - 100 meV	~10 keV	0.5 - 100 keV
Transferred Energy	0.01 - 1 meV	1 - 1000 meV	0.1 - 100 meV	1 - 100 meV	1 - 400 meV	-
Excitation	Crystal Field	Magnon	Phonon	<i>d-d & e-h</i>	Plasmon	Core hole
Energy	~ 1 meV	~ 10 meV	10-100 meV	~1 eV	~ 10 eV	0.1 - 100 keV

Energy & Momentum

- ❖ For local excitations such as Crystal Field or Ising-like excitations, the energy does not depend on the transferred momentum :

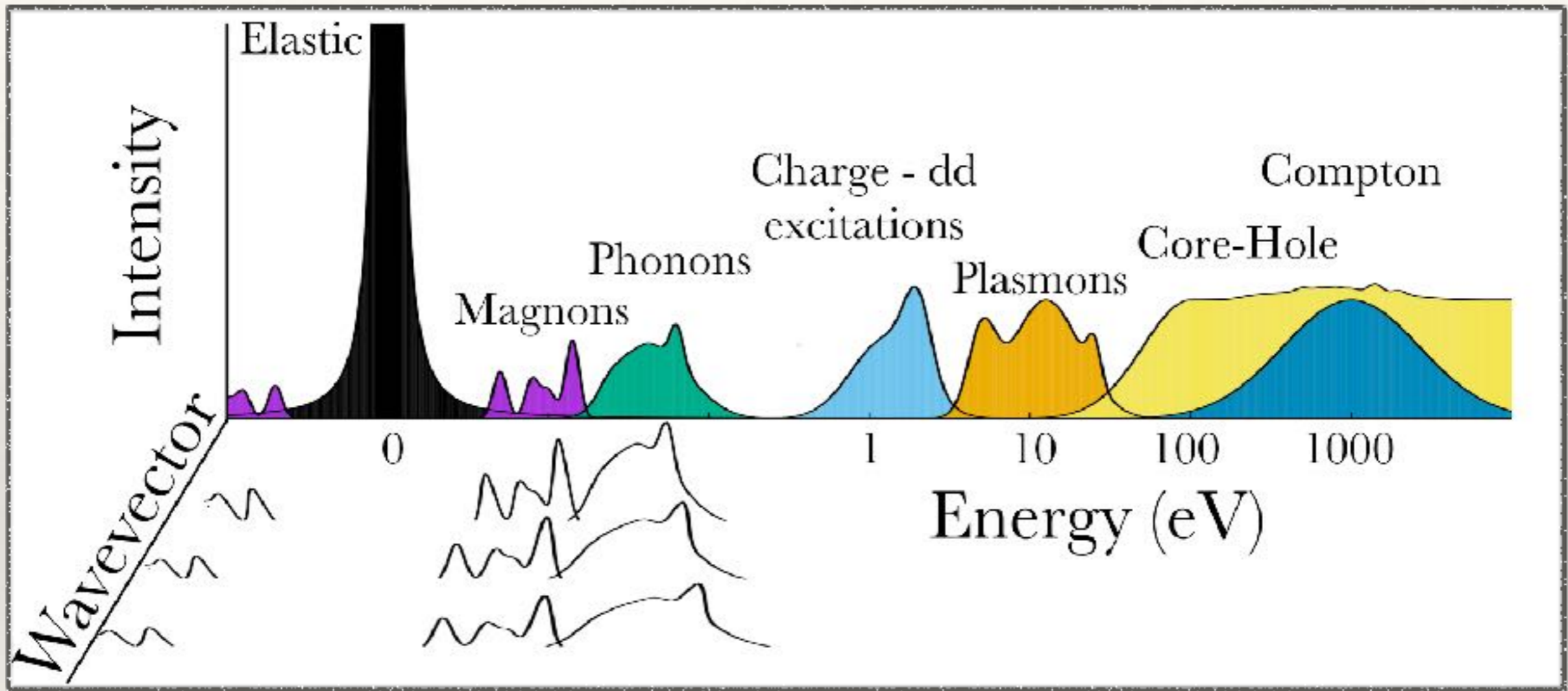
$$\omega(\vec{q}) = \omega_0$$

- ❖ However, some excitations has a momentum-dependent energy. Both parameters are no longer independent : they are connected through the dispersion relation :

$$\omega(\vec{q})$$

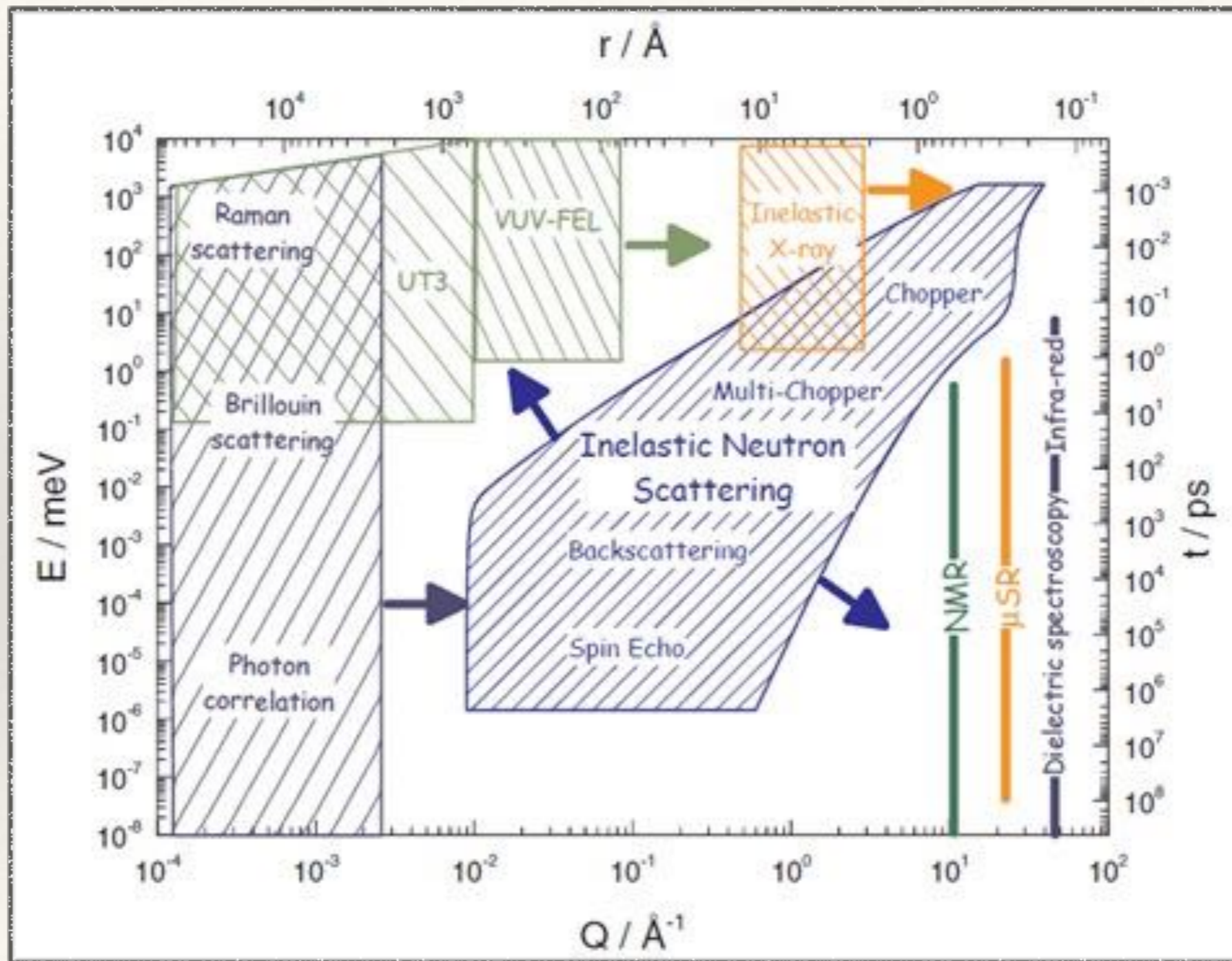
- ❖ This is the case for collective excitations such as magnons, phonons ...

Energy & Momentum



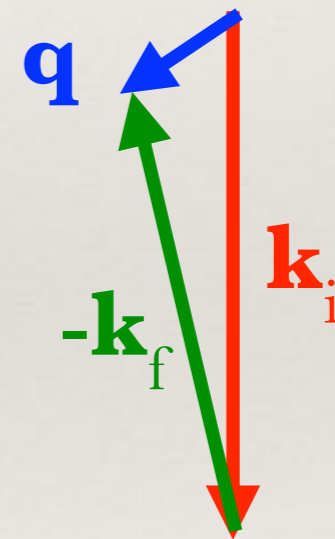
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Energy & Momentum



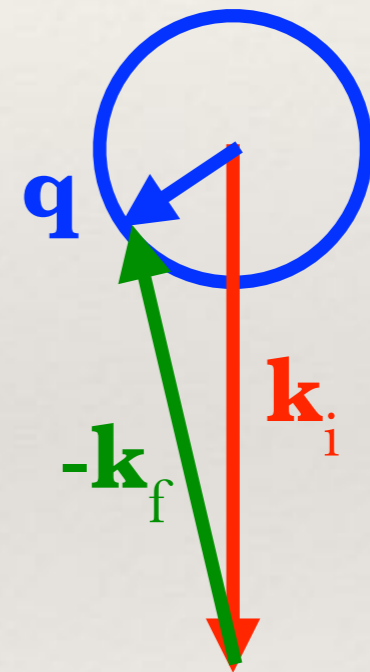
Energy & Momentum

- ❖ The combination of momentum and energy conservation results in a restriction of the ω - \mathbf{q} space reachable, known as the kinematic limit.



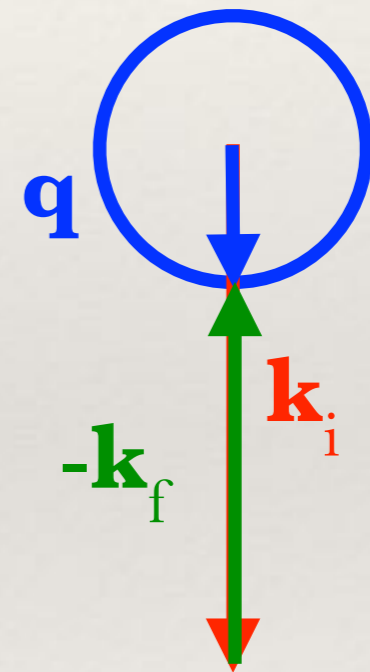
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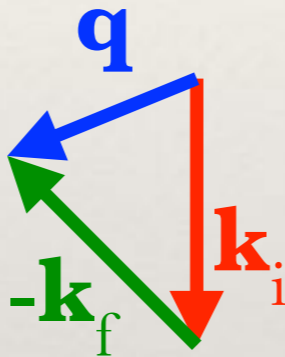
Energy & Momentum

- ❖ The combination of momentum and energy conservation results in a restriction of the ω - q space reachable, known as the kinematic limit.



Energy & Momentum

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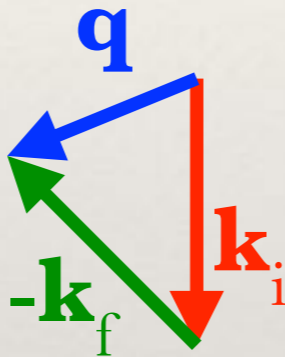


Energy & Momentum

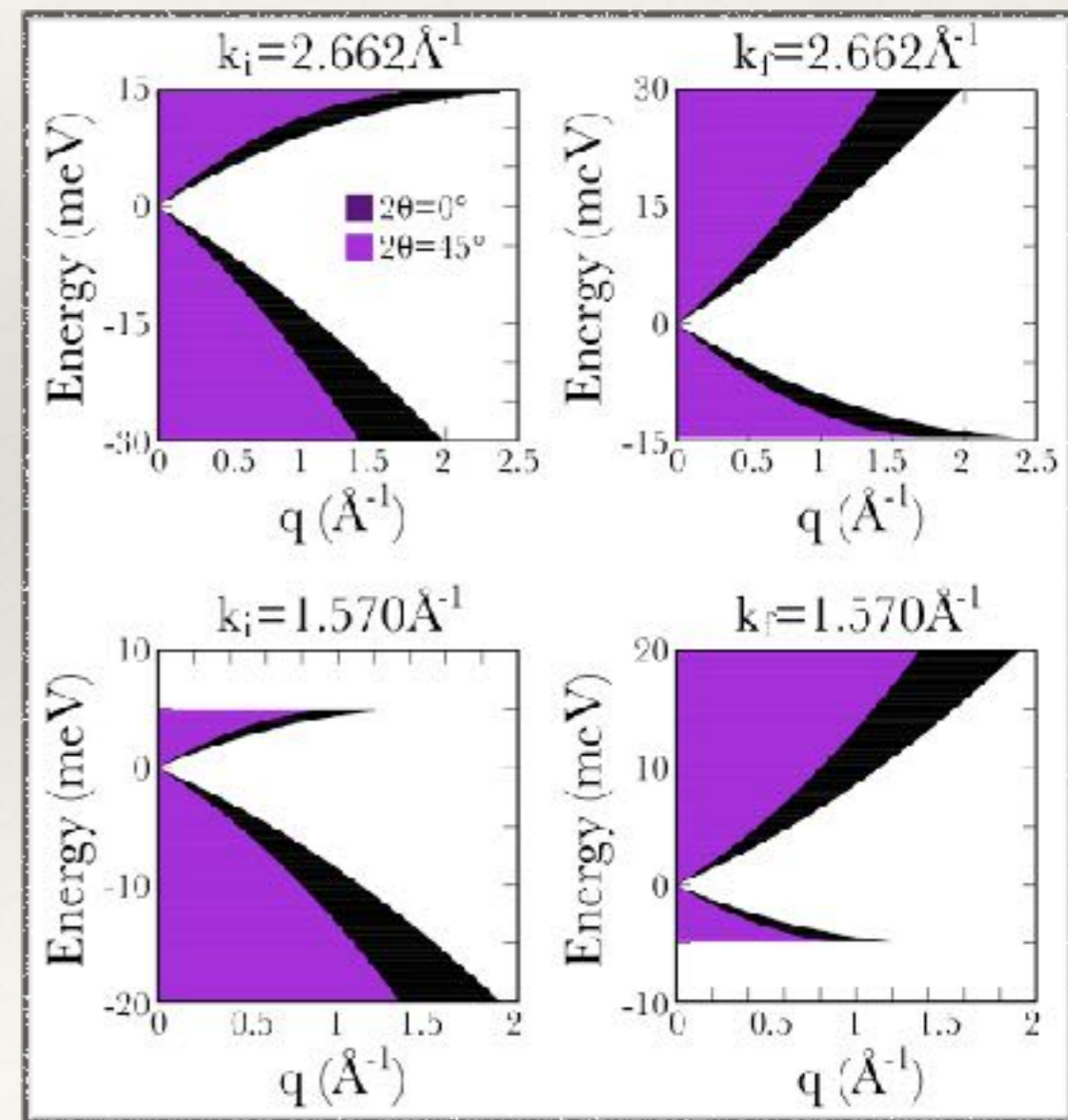
- ❖ The combination of momentum and energy conservation results in a restriction of the ω - q space reachable, known as the kinematic limit. For neutrons :

$$\vec{q} = \vec{k}_i - \vec{k}_f$$

$$\omega = \frac{\hbar^2}{2m} (k_i^2 - k_f^2)$$



$$q = \sqrt{2k_i^2 - \frac{2m\omega}{\hbar}} - 2k_i \sqrt{k_i^2 - \frac{2m\omega}{\hbar}} \cos(2\theta)$$

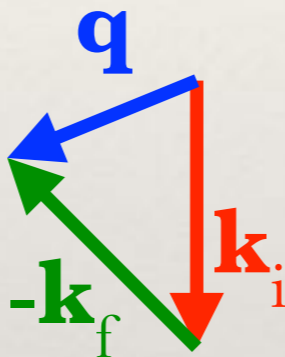


Energy & Momentum

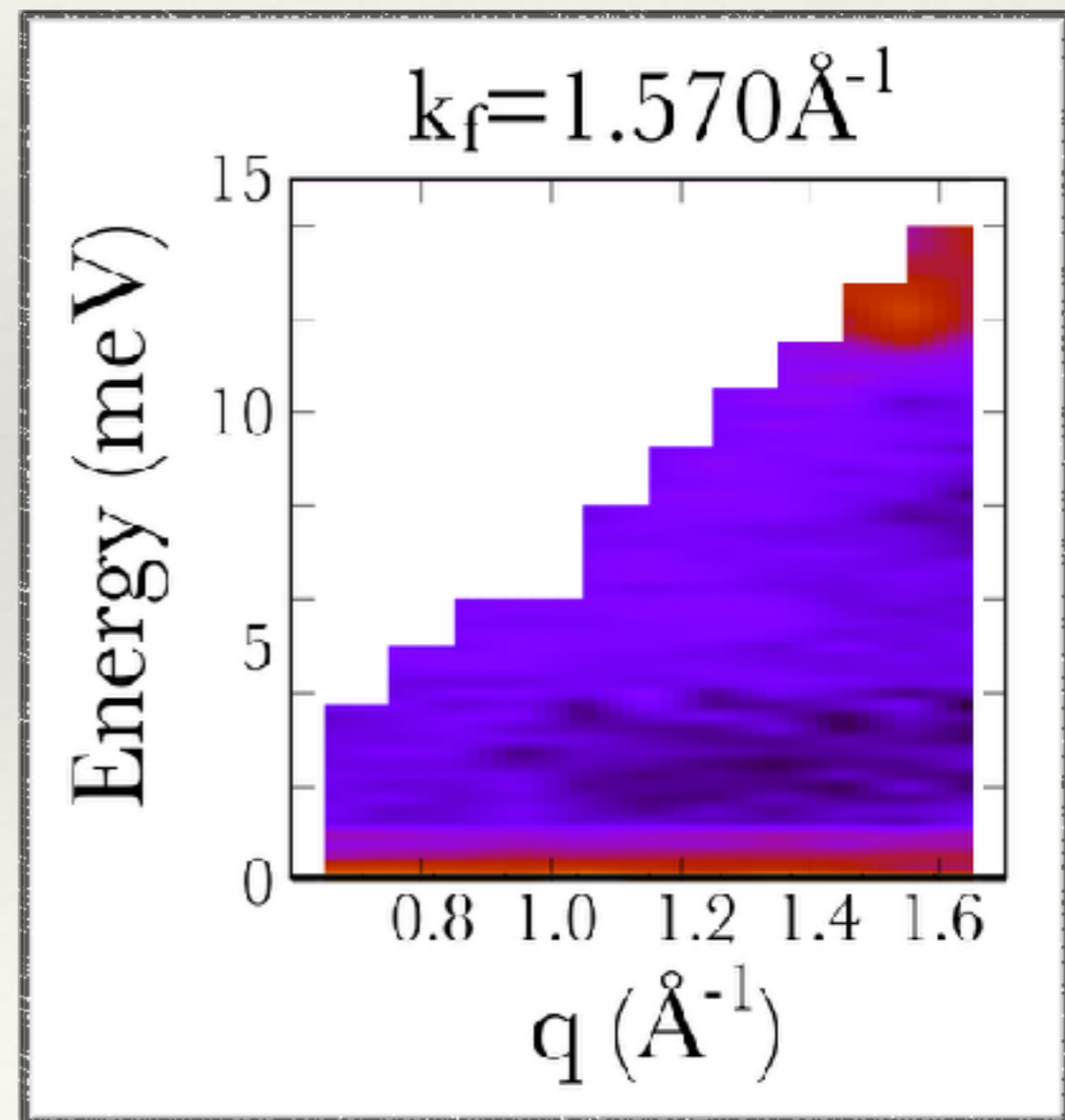
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$$q = \sqrt{2k_i^2 - \frac{2m\omega}{\hbar} - 2k_i \sqrt{k_i^2 - \frac{2m\omega}{\hbar}} \cos(2\theta)}$$



Energy & Momentum

- ❖ Kinematic limit for X-rays :

$$\vec{q} = \vec{k}_i - \vec{k}_f$$

$$\omega = \hbar c(k_i - k_f)$$

$$q = \sqrt{\frac{\omega^2}{c^2} + 2k_i^2(1 - \cos(2\theta)) - \frac{2k_i\omega}{c}(1 + \cos(2\theta))}$$

- ❖ Since $\omega \ll ck_i \Rightarrow q \approx \sqrt{1 - \cos(2\theta)}k_i$, kinematic limit is equivalent to momentum conservation

Spin Angular Momentum

- ❖ Neutron spin angular momentum :

$$S_z = \pm \frac{\hbar}{2}$$

- ❖ Restricts accessible excitations to :

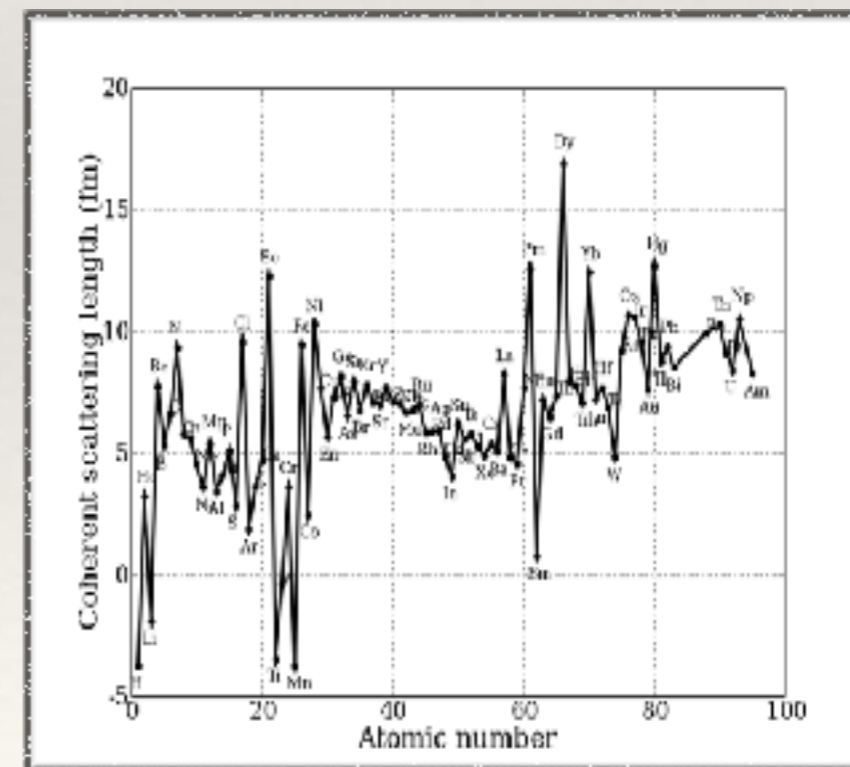
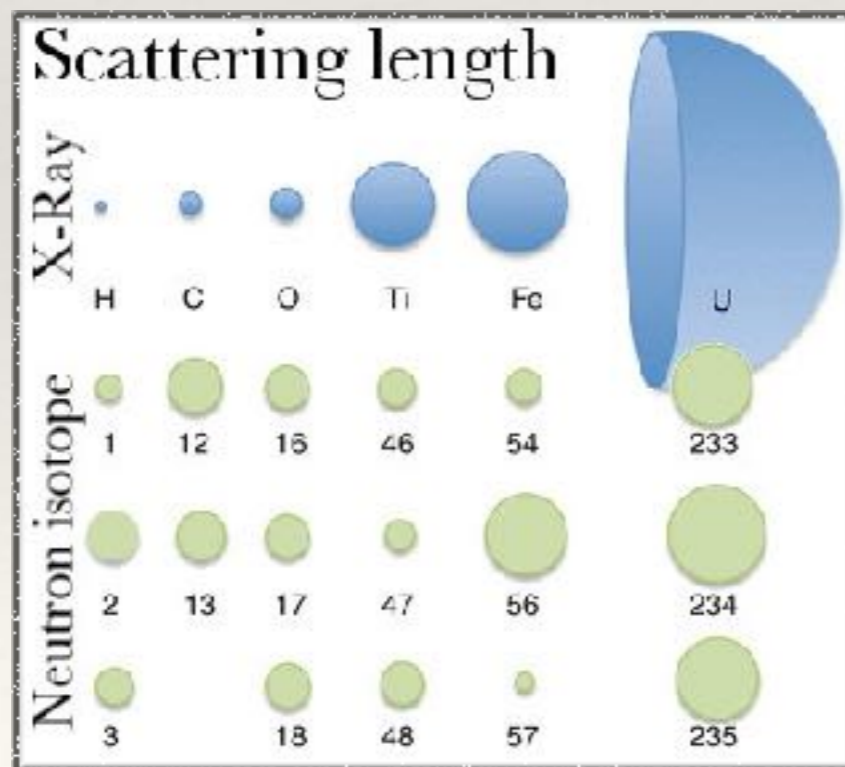
$$\Delta S = 0 \quad \text{and} \quad \Delta S = 1$$

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Inelastic Neutron Scattering

- ❖ Interaction neutron-nucleus : $\mathcal{V}(\vec{r}) = \frac{2\pi\hbar^2}{m_n} b\delta(\vec{r} - \vec{R})$
- ❖ m_n : neutron mass
- ❖ b : neutron scattering length of a nucleus : can be negative, complex and is isotope sensitive.



Inelastic Neutron Scattering

- ❖ Elastic cross section is proportional to structure factor

$$\frac{\partial \sigma}{\partial \Omega} = \frac{k_f}{k_i} \left| F(\vec{Q}) \right|^2 \quad F(\vec{Q}) = \sum_j b_j e^{-i\vec{Q} \cdot \vec{r}_j}$$

- ❖ For inelastic cross section, one has to consider moving atoms :

$$\vec{R}_a(t) = \vec{R}_c + \vec{r}_a + \vec{u}_a(t)$$

- ❖ So cross section writes :

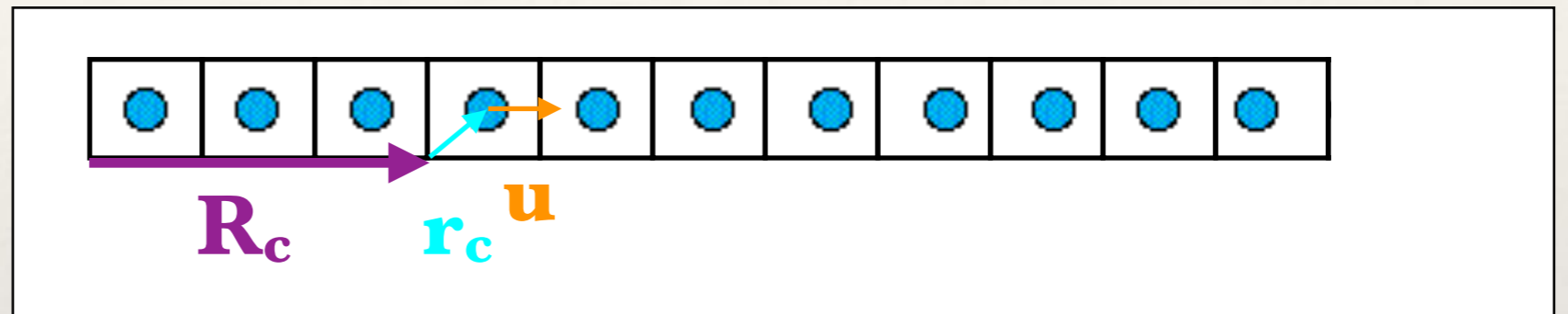
$$\frac{\partial^2 \sigma}{\partial \Omega \partial \omega} = \frac{k_f}{k_i} S(\vec{Q}, \omega)$$

$$S(\vec{Q}, \omega) = \sum_{a_1, a_2} b_{a_1} b_{a_2} \int_{-\infty}^{+\infty} \langle e^{i\vec{Q} \cdot (\vec{R}_{a_1}(0) - \vec{R}_{a_2}(t))} \rangle e^{-i\omega t} dt$$

Inelastic Neutron Scattering

- ❖ Let's consider a collective displacement p in a crystal with only one atom a :

$$\vec{R}_a(t) = \vec{R}_c + \vec{r}_a + \vec{u}_a(t)$$



- ❖ Atomic displacement of atom a for this phonon mode p writes :

$$\vec{u}_{a,p}(t) = \sqrt{\frac{\hbar}{m_a \omega_p(\vec{q})}} e^{(i\vec{Q} \cdot \vec{r}_a - \omega_p t)} \vec{\tilde{u}}_{a,p}$$

Inelastic Neutron Scattering

$$\begin{aligned}
 \frac{\partial^2 \sigma}{\partial \Omega \partial \omega} &= N \frac{(2\pi)^3}{v_0} \sum_{\vec{G} \in RS} \delta(\vec{Q} - \vec{G}) \left| \sum_a b_a e^{-W_a} e^{i\vec{Q} \cdot \vec{r}_a} \right|^2 \\
 &+ \frac{k_f}{k_i} \frac{(2\pi)^3}{v_0} \sum_{\vec{q} \in BZ} \delta(\vec{Q} - \vec{q} - \vec{G}) \sum_p \left| \sum_a \sqrt{\frac{\hbar}{m_a \omega_p(\vec{q})}} b_a e^{-W_a} e^{i\vec{Q} \cdot \vec{r}_a} (\vec{Q} \cdot \vec{u}_{a,p}) \right|^2 \\
 &\times [(1 + n_B(\omega_p(\vec{q}), T)) \delta(\omega - \omega_p(\vec{q})) + n_B(\omega_p(\vec{q}), T) \delta(\omega + \omega_p(\vec{q}))]
 \end{aligned}$$

Inelastic Neutron Scattering

$$\frac{\partial^2 \sigma}{\partial \Omega \partial \omega} = N \frac{(2\pi)^3}{v_0} \sum_{\vec{G} \in RS} \delta(\vec{Q} - \vec{G}) \left| \sum_a b_a e^{-W_a} e^{i\vec{Q} \cdot \vec{r}_a} \right|^2$$

Elastic cross section

$$+ \frac{k_f}{k_i} \frac{(2\pi)^3}{v_0} \sum_{\vec{q} \in BZ} \delta(\vec{Q} - \vec{q} - \vec{G}) \sum_p \left| \sum_a \sqrt{\frac{\hbar}{m_a \omega_p(\vec{q})}} b_a e^{-W_a} e^{i\vec{Q} \cdot \vec{r}_a} (\vec{Q} \cdot \vec{u}_{a,p}) \right|^2$$

$$\times [(1 + n_B(\omega_p(\vec{q}), T)) \delta(\omega - \omega_p(\vec{q})) + n_B(\omega_p(\vec{q}), T) \delta(\omega + \omega_p(\vec{q}))]$$

Inelastic cross section

Inelastic Neutron Scattering

$$\begin{aligned}
 \frac{\partial^2 \sigma}{\partial \Omega \partial \omega} = & N \frac{(2\pi)^3}{v_0} \sum_{\vec{G} \in RS} \delta(\vec{Q} - \vec{G}) \left[\sum_a b_a e^{-W_a} e^{i\vec{Q} \cdot \vec{r}_a} \right]^2 \text{Elastic Structure Factor} \\
 & + \frac{k_f}{k_i} \frac{(2\pi)^3}{v_0} \sum_{\vec{q} \in BZ} \delta(\vec{Q} - \vec{q} - \vec{G}) \sum_p \left[\sum_a \sqrt{\frac{\hbar}{m_a \omega_p(\vec{q})}} b_a e^{-W_a} e^{i\vec{Q} \cdot \vec{r}_a} (\vec{Q} \cdot \vec{u}_{a,p}) \right]^2 \\
 & \times [(1 + n_B(\omega_p(\vec{q}), T))\delta(\omega - \omega_p(\vec{q})) + n_B(\omega_p(\vec{q}), T)\delta(\omega + \omega_p(\vec{q}))]
 \end{aligned}$$

Inelastic Structure Factor

Inelastic Neutron Scattering

$$\begin{aligned}
 \frac{\partial^2 \sigma}{\partial \Omega \partial \omega} &= N \frac{(2\pi)^3}{v_0} \sum_{\vec{G} \in RS} \delta(\vec{Q} - \vec{G}) \left| \sum_a b_a e^{-W_a} e^{i\vec{Q} \cdot \vec{r}_a} \right|^2 \quad \text{Debye-Waller Factor} \\
 &+ \frac{k_f}{k_i} \frac{(2\pi)^3}{v_0} \sum_{\vec{q} \in BZ} \delta(\vec{Q} - \vec{q} - \vec{G}) \sum_p \left| \sum_a \sqrt{\frac{\hbar}{m_a \omega_p(\vec{q})}} b_a e^{-W_a} e^{i\vec{Q} \cdot \vec{r}_a} (\vec{Q} \cdot \vec{u}_{a,p}) \right|^2 \\
 &\times [(1 + n_B(\omega_p(\vec{q}), T)) \delta(\omega - \omega_p(\vec{q})) + n_B(\omega_p(\vec{q}), T) \delta(\omega + \omega_p(\vec{q}))]
 \end{aligned}$$

Inelastic Neutron Scattering

$$\frac{\partial^2 \sigma}{\partial \Omega \partial \omega} \Big|_{inel} = \frac{k_f}{k_i} \frac{(2\pi)^3}{v_0} \sum_{\vec{q} \in BZ} \delta(\vec{Q} - \vec{q} - \vec{G}) \sum_p \left| \sum_a \sqrt{\frac{\hbar}{m_a \omega_p(\vec{q})}} b_a e^{-W_a} e^{i\vec{Q} \cdot \vec{r}_a} (\vec{Q} \cdot \vec{u}_{a,p}) \right|^2$$

$\times [(1 + n_B(\omega_p(\vec{q}), T))\delta(\omega - \omega_p(\vec{q})) + n_B(\omega_p(\vec{q}), T)\delta(\omega + \omega_p(\vec{q}))]$

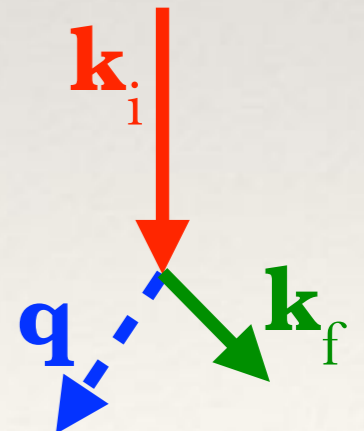
Energy conservation term

Inelastic Neutron Scattering

$$\frac{\partial^2 \sigma}{\partial \Omega \partial \omega} \Big|_{inel} = \frac{k_f}{k_i} \frac{(2\pi)^3}{v_0} \sum_{\vec{q} \in BZ} \delta(\vec{Q} - \vec{q} - \vec{G}) \sum_p \left| \sum_a \sqrt{\frac{\hbar}{m_a \omega_p(\vec{q})}} b_a e^{-W_a} e^{i\vec{Q} \cdot \vec{r}_a} (\vec{Q} \cdot \vec{u}_{a,p}) \right|^2$$

$$\times [(1 + n_B(\omega_p(\vec{q}), T)) \delta(\omega - \omega_p(\vec{q})) + n_B(\omega_p(\vec{q}), T) \delta(\omega + \omega_p(\vec{q}))]$$

Energy conservation : creation process

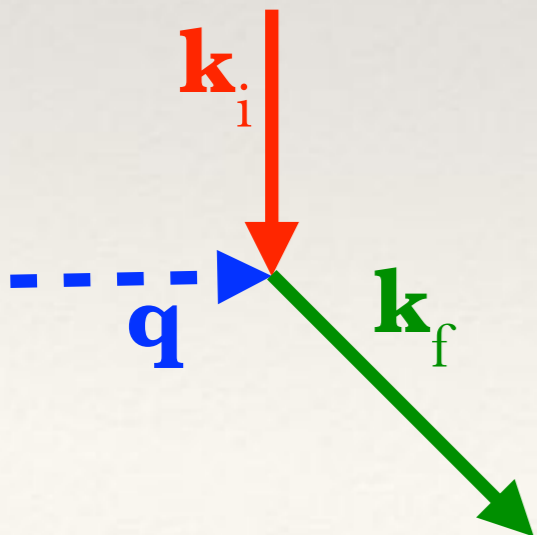


Inelastic Neutron Scattering

$$\frac{\partial^2 \sigma}{\partial \Omega \partial \omega} \Big|_{inel} = \frac{k_f}{k_i} \frac{(2\pi)^3}{v_0} \sum_{\vec{q} \in BZ} \delta(\vec{Q} - \vec{q} - \vec{G}) \sum_p \left| \sum_a \sqrt{\frac{\hbar}{m_a \omega_p(\vec{q})}} b_a e^{-W_a} e^{i\vec{Q} \cdot \vec{r}_a} (\vec{Q} \cdot \vec{u}_{a,p}) \right|^2$$

$$\times [(1 + n_B(\omega_p(\vec{q}), T))\delta(\omega - \omega_p(\vec{q})) + n_B(\omega_p(\vec{q}), T)\delta(\omega + \omega_p(\vec{q}))]$$

Energy conservation : annihilation process



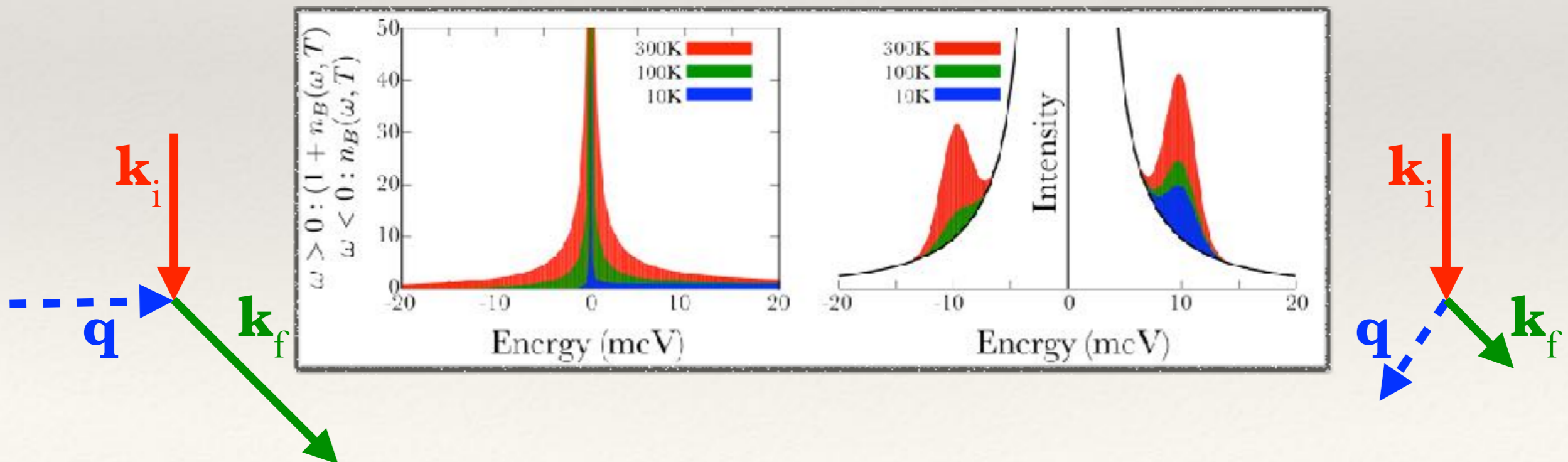
Inelastic Neutron Scattering

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$$\times [(1 + n_B(\omega_p(\vec{q}), T)) \delta(\omega - \omega_p(\vec{q})) + n_B(\omega_p(\vec{q}), T) \delta(\omega + \omega_p(\vec{q}))]$$

Detailed Balance Factor

$$n_B(\omega, T) = \frac{1}{e^{\frac{\hbar\omega}{k_B T}} - 1}$$



Inelastic Neutron Scattering

$$\frac{\partial^2 \sigma}{\partial \Omega \partial \omega} \Big|_{inel} = \frac{k_f}{k_i} \frac{(2\pi)^3}{v_0} \sum_{\vec{q} \in BZ} \delta(\vec{Q} - \vec{q} - \vec{G}) \left[\sum_p \left| \sum_a \sqrt{\frac{\hbar}{m_a \omega_p(\vec{q})}} b_a e^{-W_a} e^{i\vec{Q} \cdot \vec{r}_a} (\vec{Q} \cdot \vec{u}_{a,p}) \right|^2 \right]$$

$$\times [(1 + n_B(\omega_p(\vec{q}), T))\delta(\omega - \omega_p(\vec{q})) + n_B(\omega_p(\vec{q}), T)\delta(\omega + \omega_p(\vec{q}))]$$

Phononic term

Inelastic Neutron Scattering

$$\frac{\partial^2 \sigma}{\partial \Omega \partial \omega} \Big|_{inel} = \frac{k_f}{k_i} \frac{(2\pi)^3}{v_0} \sum_{\vec{q} \in BZ} \delta(\vec{Q} - \vec{q} - \vec{G}) \sum_p \left| \sum_a \sqrt{\frac{\hbar}{m_a \omega_p(\vec{q})}} b_a e^{-W_a} e^{i\vec{Q} \cdot \vec{r}_a} (\vec{Q} \cdot \vec{u}_{a,p}) \right|^2 \times [(1 + n_B(\omega_p(\vec{q}), T))\delta(\omega - \omega_p(\vec{q})) + n_B(\omega_p(\vec{q}), T)\delta(\omega + \omega_p(\vec{q}))]$$

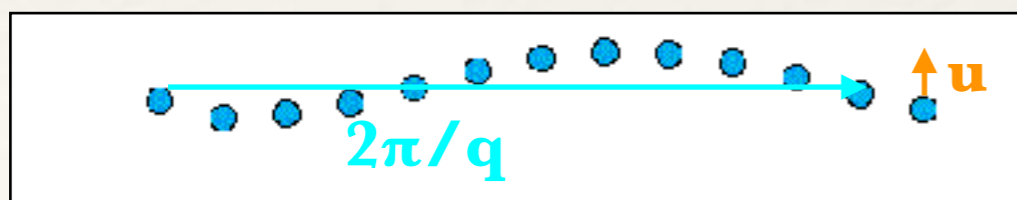
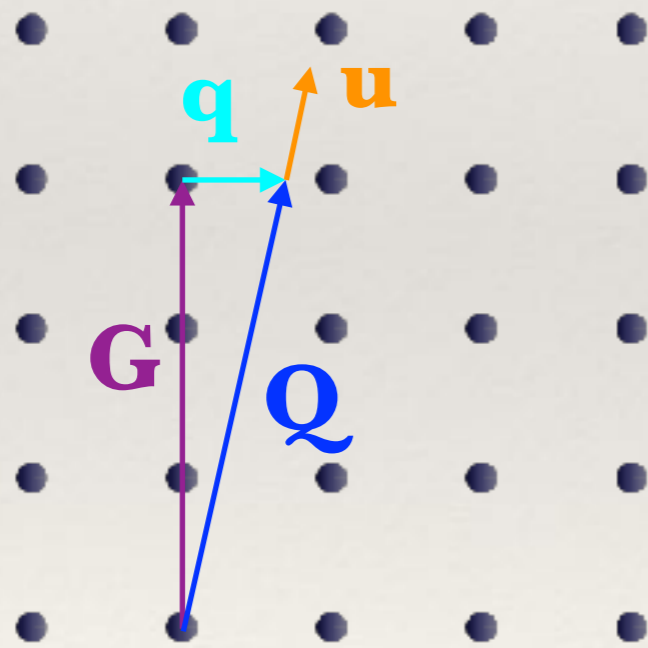
- ❖ Sensitive to displacement along \vec{Q}
- ❖ Proportional to Q^2

Inelastic Neutron Scattering

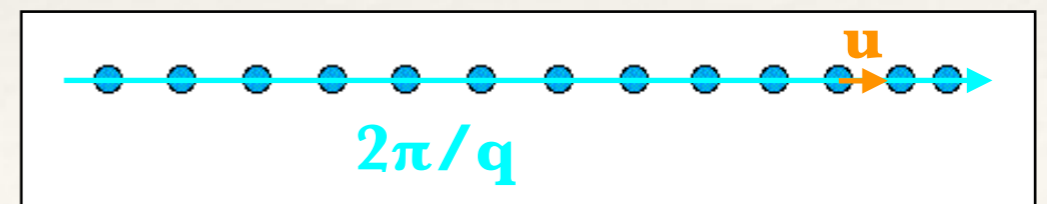
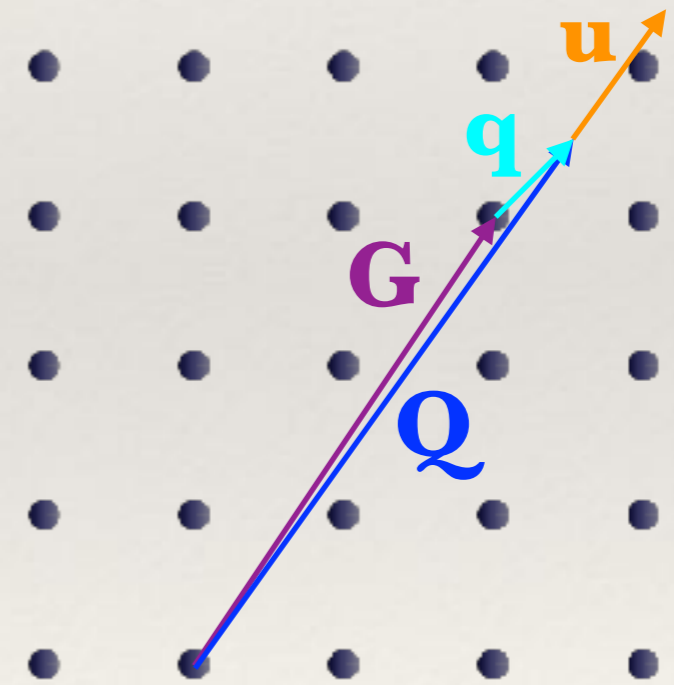
$$\frac{\partial^2 \sigma}{\partial \Omega \partial \omega} \Big|_{inel} = \frac{k_f}{k_i} \frac{(2\pi)^3}{v_0} \sum_{\vec{q} \in BZ} \delta(\vec{Q} - \vec{q} - \vec{G}) \sum_p \left| \sum_a \sqrt{\frac{\hbar}{m_a \omega_p(\vec{q})}} b_a e^{-W_a} e^{i\vec{Q} \cdot \vec{r}_a} (\vec{Q} \cdot \vec{u}_{a,p}) \right|^2$$

$$\times [(1 + n_B(\omega_p(\vec{q}), T)) \delta(\omega - \omega_p(\vec{q})) + n_B(\omega_p(\vec{q}), T) \delta(\omega + \omega_p(\vec{q}))]$$

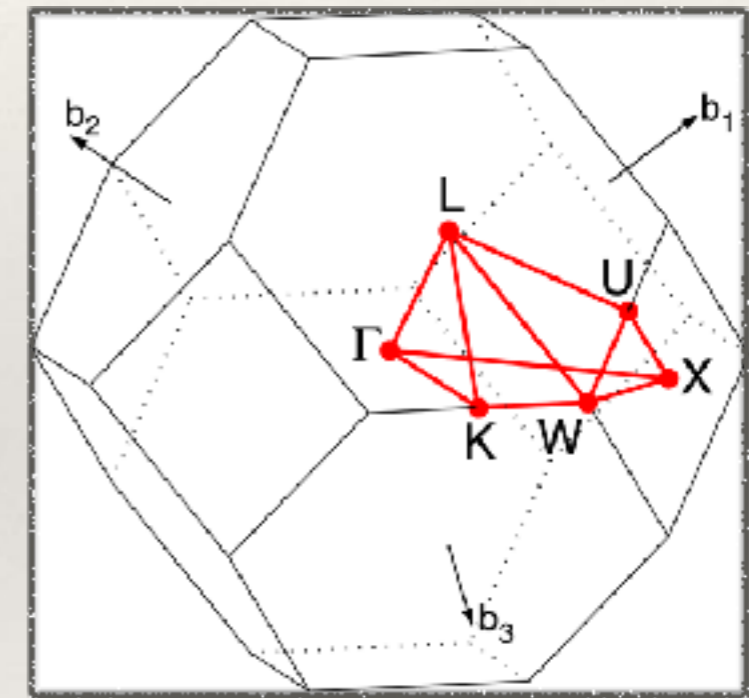
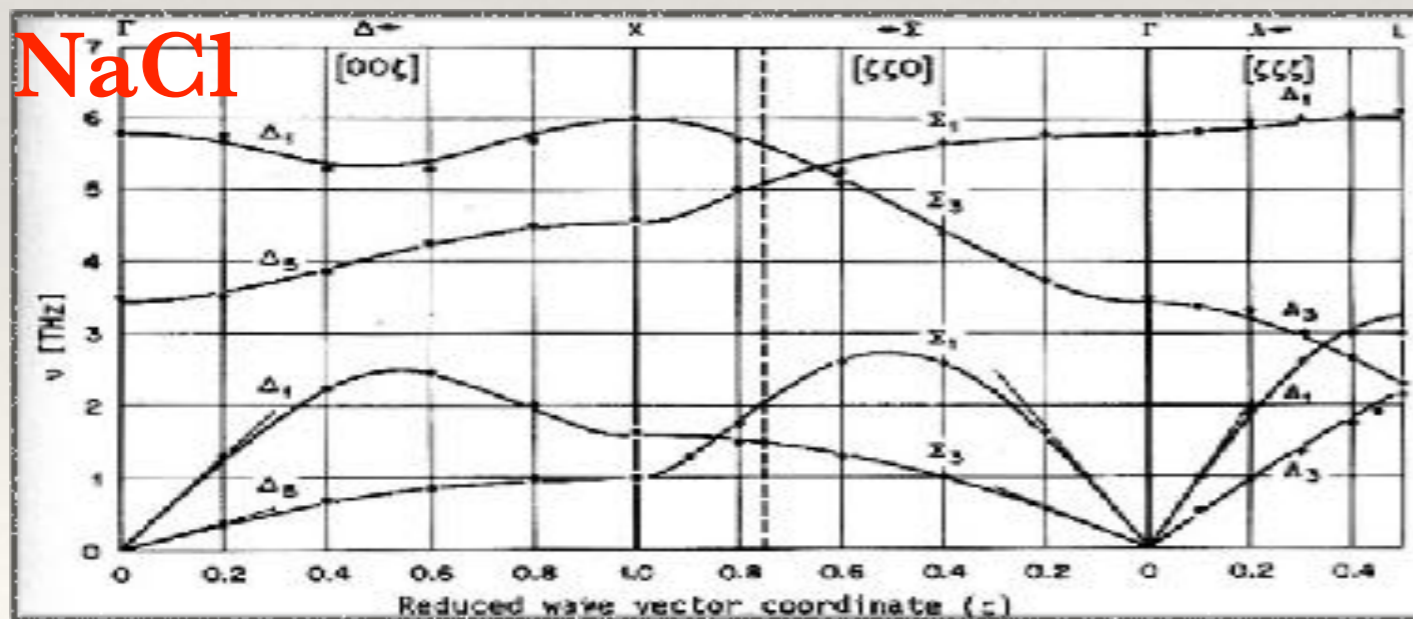
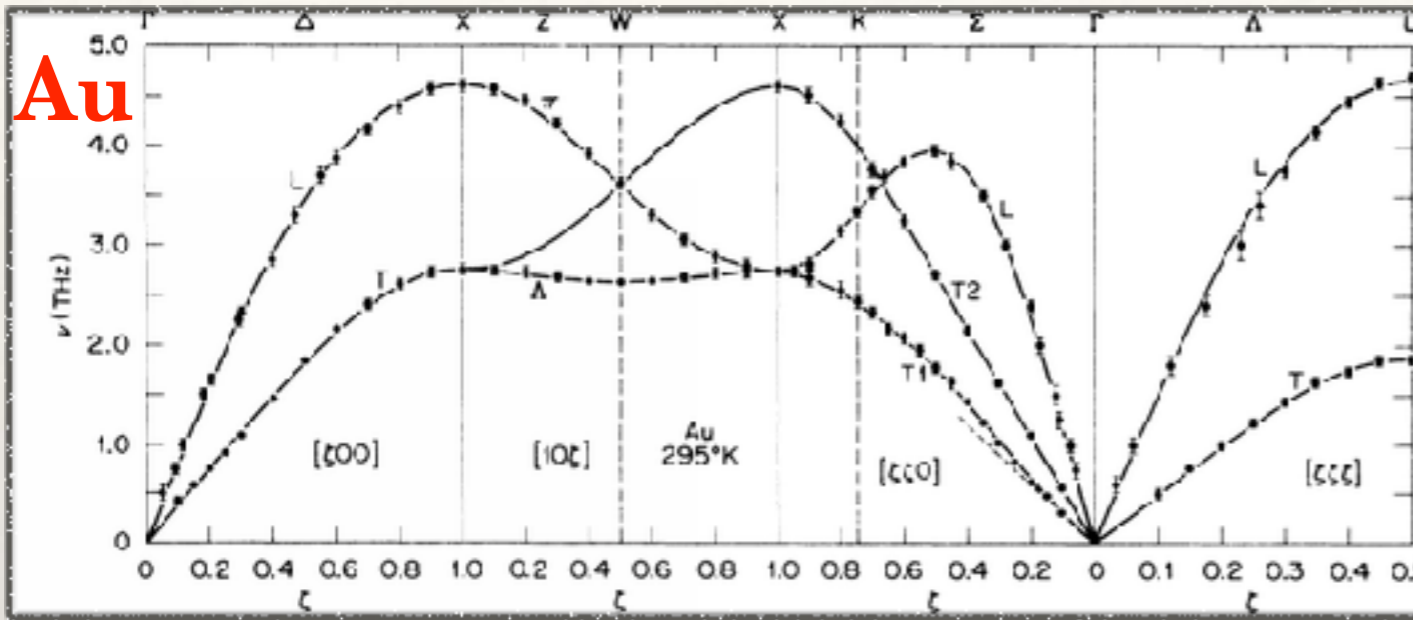
Transverse



Longitudinal



Inelastic Neutron Scattering



Outline

- ❖ 1 General concepts
 - From elastic to inelastic scattering
 - Conservation laws and consequences
- ❖ 2 **Inelastic Neutron Scattering**
 - Nuclear Interaction
 - Magnetic Interaction**
- ❖ 3 Inelastic X-ray Scattering

Inelastic Neutron Scattering

- ❖ Interaction neutron-magnetic moment :

$$\mathcal{V}(\vec{r}) = -\vec{\mu}_n \cdot \frac{\mu_0}{4\pi} \left(r \vec{\partial} t \left(\frac{\vec{\mu}_e \wedge \vec{r}}{r^3} \right) - \frac{2\mu_B}{\hbar} \frac{\vec{p} \wedge \vec{r}}{r^3} \right)$$

- ❖ $\vec{\mu}_e = 2\mu_B \vec{S}$: electron magnetic moment operator
- ❖ $\vec{\mu}_n = -\gamma\mu_N \vec{\sigma}$: neutron magnetic moment operator

$$\mathcal{V}(\vec{r}) = -\vec{\mu}_n \cdot r \vec{\partial} t \left(r \vec{\partial} t \left(\frac{\mu_e^S + \mu_e^L}{r} \right) \right)$$

Inelastic Neutron Scattering

$$\frac{\partial^2 \sigma}{\partial \Omega \partial \omega} = \frac{k_f}{k_i} (\gamma r_e)^2 \sum_{\vec{G} \in RS} \delta(\vec{Q} - \vec{G}) \left| \sum_a r_e f_a(\vec{Q}) S_{\perp,a} e^{-W_a} e^{i\vec{Q} \cdot \vec{r}_a} \right|^2 \delta(\omega)$$

**Elastic
cross section**

$$+ \frac{k_f}{k_i} (\gamma r_e)^2 \sum_{\vec{G}, \vec{q}} \delta(\vec{Q} - \vec{q} - \vec{G}) \sum_{\alpha, \beta} \left(\delta_{\alpha\beta} - \frac{Q^\alpha Q^\beta}{Q^2} \right) \\ \times \sum_{a_1, a_2} f_{a_1}(\vec{Q}) f_{a_2}(\vec{Q}) e^{i\vec{Q} \cdot (\vec{r}_{a_1} - \vec{r}_{a_2})} e^{-W_{a_1} - W_{a_2}} \int_{-\infty}^{+\infty} \langle S_{a_1}^\alpha(0) S_{a_2}^\beta(t) \rangle e^{-i\omega t} dt$$

Inelastic cross section

Inelastic Neutron Scattering

$$\begin{aligned}
 \frac{\partial^2 \sigma}{\partial \Omega \partial \omega} = & \frac{k_f}{k_i} (\gamma r_e)^2 \sum_{\vec{G} \in RS} \delta(\vec{Q} - \vec{G}) \left| \sum_a r_a \boxed{f_a(\vec{Q})} \delta_{\perp,a} e^{-W_a} e^{i\vec{Q} \cdot \vec{r}_a} \right|^2 \delta(\omega) \quad \text{Magnetic form factor} \\
 & + \frac{k_f}{k_i} (\gamma r_e)^2 \sum_{\vec{G}, \vec{q}} \delta(\vec{Q} - \vec{q} - \vec{G}) \sum_{\alpha, \beta} \left(\delta_{\alpha\beta} - \frac{Q^\alpha Q^\beta}{Q^2} \right) \\
 & \times \sum_{a_1, a_2} \boxed{f_{a_1}(\vec{Q}) f_{a_2}(\vec{Q})} e^{i\vec{Q} \cdot (\vec{r}_{a_1} - \vec{r}_{a_2})} e^{-W_{a_1} - W_{a_2}} \int_{-\infty}^{+\infty} \langle S_{a_1}^\alpha(0) S_{a_2}^\beta(t) \rangle e^{-i\omega t} dt
 \end{aligned}$$

Inelastic Neutron Scattering

$$\begin{aligned}
 \frac{\partial^2 \sigma}{\partial \Omega \partial \omega} &= \frac{k_f}{k_i} (\gamma r_e)^2 \sum_{\vec{G} \in RS} \delta(\vec{Q} - \vec{G}) \left| \sum_a r_e f_a(\vec{Q}) S_{\perp,a} e^{-W_a} e^{i\vec{Q} \cdot \vec{r}_a} \right|^2 \delta(\omega) \\
 &+ \frac{k_f}{k_i} (\gamma r_e)^2 \sum_{\vec{G}, \vec{q}} \delta(\vec{Q} - \vec{q} - \vec{G}) \sum_{\alpha, \beta} \left(\delta_{\alpha\beta} - \frac{Q^\alpha Q^\beta}{Q^2} \right) \text{Geometrical factor} \\
 &\times \sum_{a_1, a_2} f_{a_1}(\vec{Q}) f_{a_2}(\vec{Q}) e^{i\vec{Q} \cdot (\vec{r}_{a_1} - \vec{r}_{a_2})} e^{-W_{a_1} - W_{a_2}} \int_{-\infty}^{+\infty} \langle S_{a_1}^\alpha(0) S_{a_2}^\beta(t) \rangle e^{-i\omega t} dt
 \end{aligned}$$

Inelastic Neutron Scattering

❖ For a ferromagnet, the cross section writes :

$$\begin{aligned} \frac{\partial^2 \sigma}{\partial \Omega \partial \omega} &= \frac{k_f}{k_i} (\gamma r_0)^2 S^2 \left(1 - \left(\frac{Q^z}{Q} \right)^2 \right) \left| f(\vec{Q}) e^{-W} \right|^2 \sum_{\vec{G} \in RS} \delta(\vec{Q} - \vec{G}) \delta(\omega) \\ &+ \frac{k_f}{k_i} (\gamma r_0)^2 S \left(1 + \left(\frac{Q^z}{Q} \right)^2 \right) \left| f(\vec{Q}) e^{-W} \right|^2 \sum_{\vec{G}, \vec{q}} \delta(\vec{Q} - \vec{q} - \vec{G}) \\ &\times [(1 + n_B(\omega_p(\vec{q}), T)) \delta(\omega - \omega_p(\vec{q})) + n_B(\omega_p(\vec{q}), T) \delta(\omega + \omega_p(\vec{q}))] \end{aligned}$$

Inelastic Neutron Scattering

- ❖ For a ferromagnet, the cross section writes :

$$\begin{aligned} \frac{\partial^2 \sigma}{\partial \Omega \partial \omega} &= \frac{k_f}{k_i} (\gamma r_0)^2 S^2 \left(1 - \left(\frac{Q^z}{Q} \right)^2 \right) \left| f(\vec{Q}) e^{-W} \right|^2 \sum_{\vec{G} \in RS} \delta(\vec{Q} - \vec{G}) \delta(\omega) \\ &+ \frac{k_f}{k_i} (\gamma r_0)^2 S \left(1 + \left(\frac{Q^z}{Q} \right)^2 \right) \left| f(\vec{Q}) e^{-W} \right|^2 \sum_{\vec{G}, \vec{q}} \delta(\vec{Q} - \vec{q} - \vec{G}) \\ &\times [(1 + n_B(\omega_p(\vec{q}), T)) \delta(\omega - \omega_p(\vec{q})) + n_B(\omega_p(\vec{q}), T) \delta(\omega + \omega_p(\vec{q}))] \end{aligned}$$

- ❖ Elastic intensity is sensitive to the magnetic moment perpendicular to \vec{Q}

Inelastic Neutron Scattering

- ❖ For a ferromagnet, the cross section writes :

$$\begin{aligned} \frac{\partial^2 \sigma}{\partial \Omega \partial \omega} = & \frac{k_f}{k_i} (\gamma r_0) S^2 \left(1 - \left(\frac{Q^z}{Q} \right)^2 \right) \left| f(\vec{Q}) e^{-W} \right|^2 \sum_{\vec{G} \in RS} \delta(\vec{Q} - \vec{G}) \delta(\omega) \\ & + \frac{k_f}{k_i} (\gamma r_0)^2 S \left(1 + \left(\frac{Q^z}{Q} \right)^2 \right) \left| f(\vec{Q}) e^{-W} \right|^2 \sum_{\vec{G}, \vec{q}} \delta(\vec{Q} - \vec{q} - \vec{G}) \\ & \times [(1 + n_B(\omega_p(\vec{q}), T)) \delta(\omega - \omega_p(\vec{q})) + n_B(\omega_p(\vec{q}), T) \delta(\omega + \omega_p(\vec{q}))] \end{aligned}$$

- ❖ Elastic intensity is sensitive to the magnetic moment perpendicular to \vec{Q}
- ❖ Elastic intensity is proportional to the square of the moment amplitude

Inelastic Neutron Scattering

- ❖ For a ferromagnet, the cross section writes :

$$\begin{aligned} \frac{\partial^2 \sigma}{\partial \Omega \partial \omega} &= \frac{k_f}{k_i} (\gamma r_0)^2 S^2 \left(1 - \left(\frac{Q^z}{Q} \right)^2 \right) \left| f(\vec{Q}) e^{-W} \right|^2 \sum_{\vec{G} \in RS} \delta(\vec{Q} - \vec{G}) \delta(\omega) \\ &+ \frac{k_f}{k_i} (\gamma r_0)^2 S^2 \left(1 + \left(\frac{Q^z}{Q} \right)^2 \right) \left| f(\vec{Q}) e^{-W} \right|^2 \sum_{\vec{G}, \vec{q}} \delta(\vec{Q} - \vec{q} - \vec{G}) \\ &\times [(1 + n_B(\omega_p(\vec{q}), T)) \delta(\omega - \omega_p(\vec{q})) + n_B(\omega_p(\vec{q}), T) \delta(\omega + \omega_p(\vec{q}))] \end{aligned}$$

- ❖ Inelastic intensity is sensitive to the magnetic moment parallel to \vec{Q}

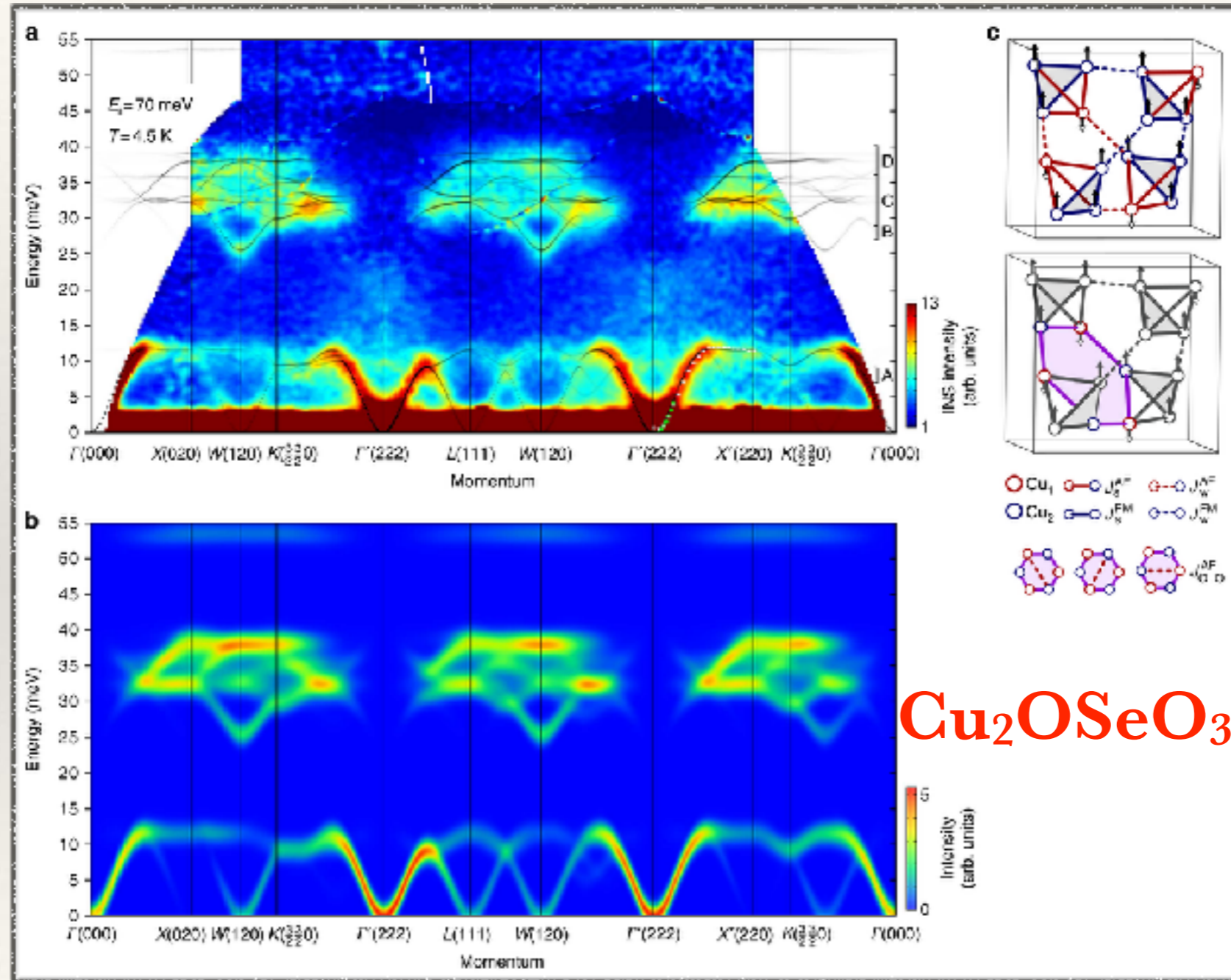
Inelastic Neutron Scattering

- ❖ For a ferromagnet, the cross section writes :

$$\begin{aligned} \frac{\partial^2 \sigma}{\partial \Omega \partial \omega} = & \frac{k_f}{k_i} (\gamma r_0)^2 S^2 \left(1 - \left(\frac{Q^z}{Q} \right)^2 \right) \left| f(\vec{Q}) e^{-W} \right|^2 \sum_{\vec{G} \in RS} \delta(\vec{Q} - \vec{G}) \delta(\omega) \\ & + \frac{k_f}{k_i} (\gamma r_0) \boxed{S} \left(1 + \left(\frac{Q^z}{Q} \right)^2 \right) \left| f(\vec{Q}) e^{-W} \right|^2 \sum_{\vec{G}, \vec{q}} \delta(\vec{Q} - \vec{q} - \vec{G}) \\ & \times [(1 + n_B(\omega_p(\vec{q}), T)) \delta(\omega - \omega_p(\vec{q})) + n_B(\omega_p(\vec{q}), T) \delta(\omega + \omega_p(\vec{q}))] \end{aligned}$$

- ❖ Inelastic intensity is sensitive to the magnetic moment parallel to \vec{Q}
- ❖ Inelastic intensity is linear in moment amplitude

Inelastic Neutron Scattering



Cu₂OSeO₃

Nature Com. 7, 10725 (2016)

Inelastic Neutron Scattering

Discriminating between phonon and magnon

Phonon	Magnon
Intensity proportional to Q^2	Intensity decreases with Q (magnetic form factor)
Always present	Appears in ordered phase $T < T_C$

And Polarized Inelastic Neutron Scattering...

Outline

- ❖ 1 General concepts
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Inelastic X-ray Scattering

- ❖ Interaction X-ray-electron :

$$\mathcal{V}(\vec{r}) = \frac{q}{m_e c} \vec{p} \cdot \vec{A}(\vec{r}) + \frac{q^2}{m_e c^2} \vec{A}^2$$

- ❖ m_e : electron mass

Inelastic X-ray Scattering

- ❖ Elastic cross section is proportional to structure factor

$$\frac{\partial \sigma}{\partial \Omega} = \frac{k_f}{k_i} r_e^2 |\vec{\epsilon}_i \cdot \vec{\epsilon}_f|^2 |F(\vec{Q})|^2 \quad F(\vec{Q}) = \sum_j f_j(\vec{Q}) e^{-i\vec{Q} \cdot \vec{r}_j}$$

- ❖ For inelastic cross section, one has to consider moving atoms :

$$\vec{R}_a(t) = \vec{R}_c + \vec{r}_a + \vec{u}_a(t)$$

- ❖ So cross section writes :

$$\frac{\partial^2 \sigma}{\partial \Omega \partial \omega} = \frac{k_f}{k_i} r_e^2 |\vec{\epsilon}_1 \cdot \vec{\epsilon}_2|^2 S(\vec{Q}, \omega) \quad \text{Atomic form factor}$$

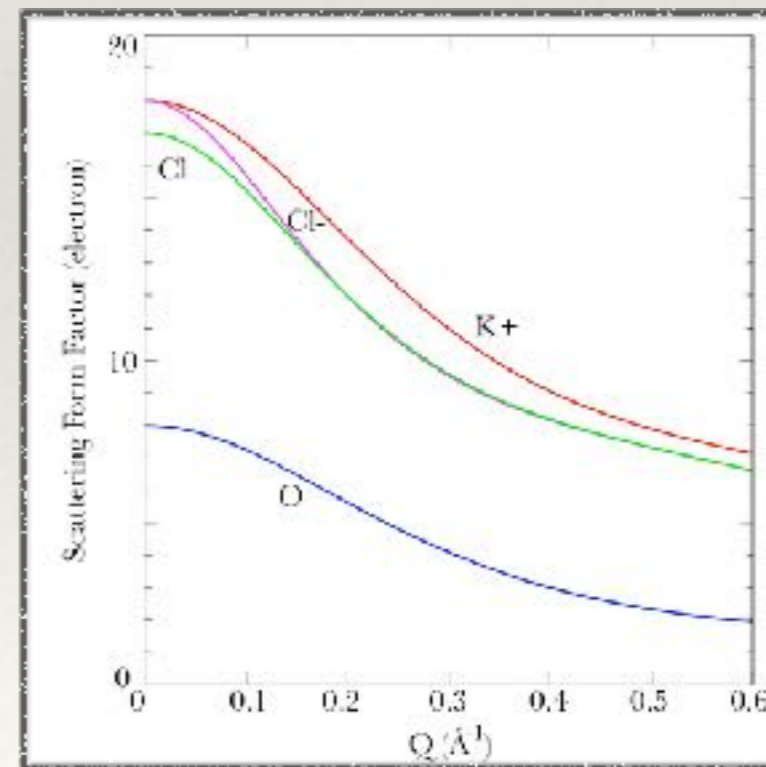
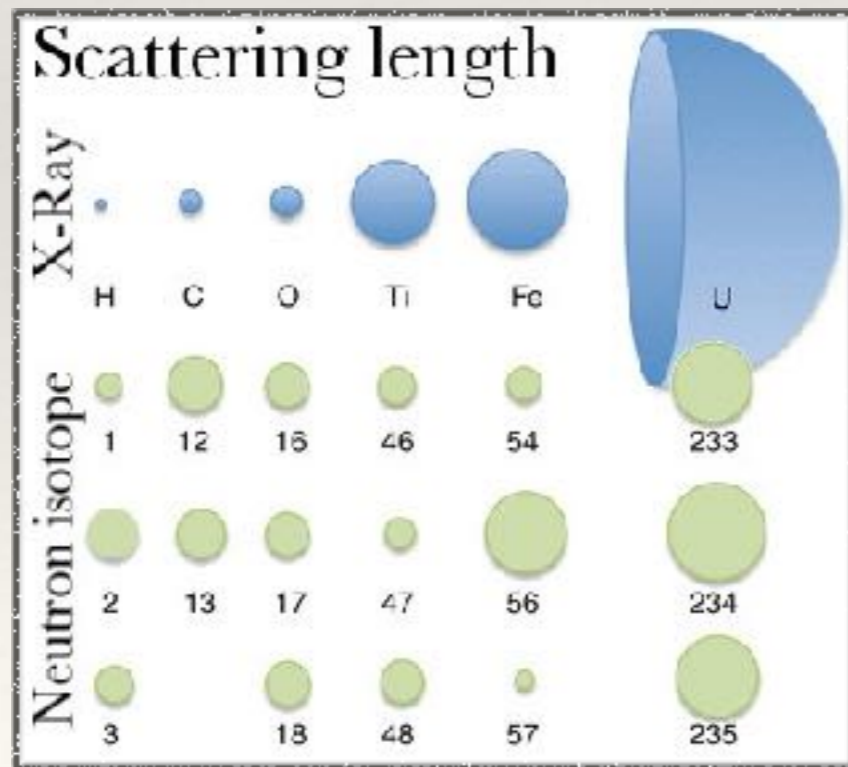
$$S(\vec{Q}, \omega) = \sum_{a_1, a_2} f_{a_1}(\vec{Q}) f_{a_2}(\vec{Q}) \int_{-\infty}^{+\infty} \langle e^{i\vec{Q} \cdot \vec{R}_{a_1}(0)} e^{-i\vec{Q} \cdot \vec{R}_{a_2}(t)} \rangle e^{-i\omega t} dt$$

Inelastic X-ray Scattering

Atomic form factor

$$f(\vec{Q}) = \int \rho(\vec{r}) e^{i\vec{Q} \cdot \vec{r}} d^3\vec{r}$$

$$f(\vec{Q} = \vec{0}) = Z$$



Inelastic X-ray Scattering

- ❖ Elastic cross section is proportional to structure factor

$$\frac{\partial \sigma}{\partial \Omega} = \frac{k_f}{k_i} r_e^2 |\vec{\epsilon}_i \cdot \vec{\epsilon}_f|^2 |F(\vec{Q})|^2 \quad F(\vec{Q}) = \sum_j f_j(\vec{Q}) e^{-i\vec{Q} \cdot \vec{r}_j}$$

- ❖ For inelastic cross section, one has to consider moving atoms :

$$\vec{R}_a(t) = \vec{R}_c + \vec{r}_a + \vec{u}_a(t)$$

- ❖ So cross section writes :

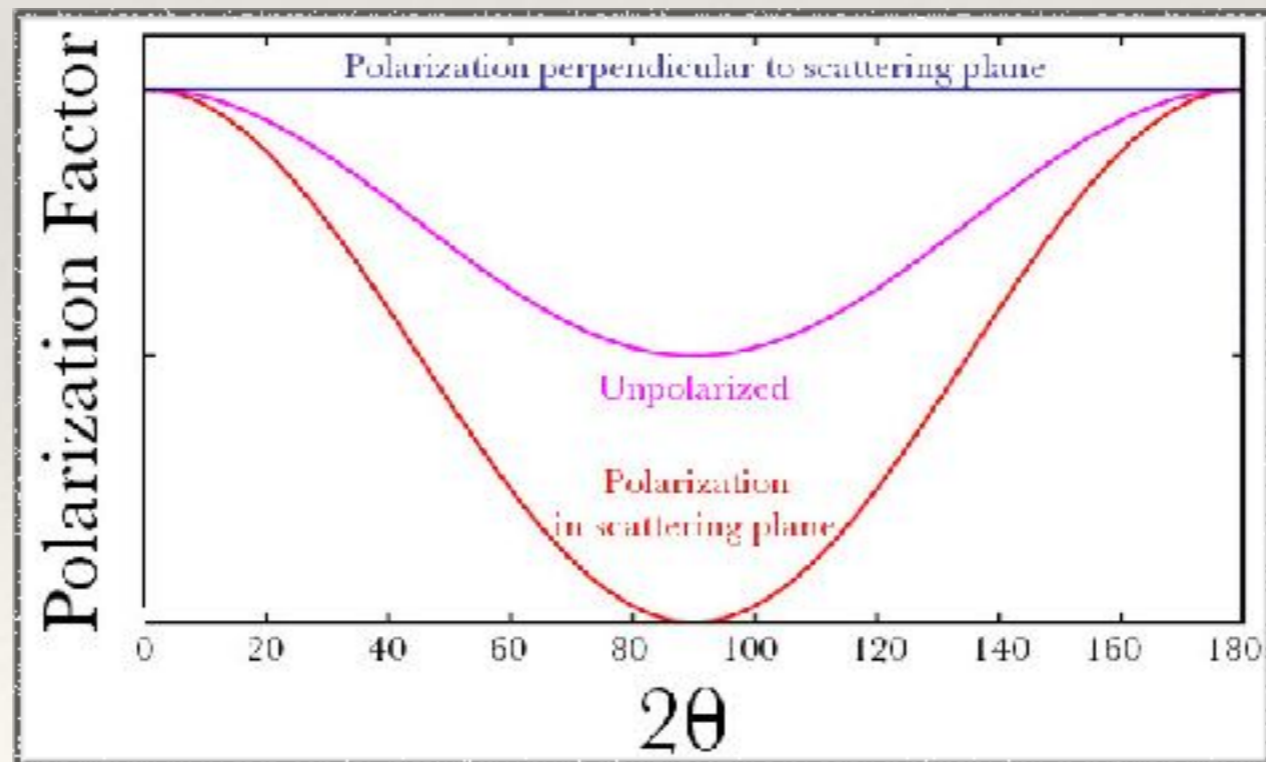
$$\frac{\partial^2 \sigma}{\partial \Omega \partial \omega} = \frac{k_f}{k_i} r_e^2 |\vec{\epsilon}_1 \cdot \vec{\epsilon}_2|^2 S(\vec{Q}, \omega) \quad \text{Polarization factor}$$

$$S(\vec{Q}, \omega) = \sum_{a_1, a_2} f_{a_1}(\vec{Q}) f_{a_2}(\vec{Q}) \int_{-\infty}^{+\infty} \langle e^{i\vec{Q} \cdot \vec{R}_{a_1}(0)} e^{-i\vec{Q} \cdot \vec{R}_{a_2}(t)} \rangle e^{-i\omega t} dt$$

Inelastic X-ray Scattering

Polarization factor

- ❖ Polarization in the scattering plane : $|\vec{\epsilon}_i \cdot \vec{\epsilon}_f|^2 = \cos(2\theta)$
- ❖ Polarization perpendicular to the scattering plane : $|\vec{\epsilon}_i \cdot \vec{\epsilon}_f|^2 = 1$
- ❖ Unpolarized beam : $|\vec{\epsilon}_i \cdot \vec{\epsilon}_f|^2 = \frac{1 + \cos^2(2\theta)}{2}$



Inelastic X-ray Scattering

$$\begin{aligned}
 \frac{\partial^2 \sigma}{\partial \Omega \partial \omega} = & \boxed{N \frac{(2\pi)^3}{v_0} r_e^2 |\vec{\epsilon}_i \cdot \vec{\epsilon}_f|^2 \sum_{\vec{G} \in RS} \delta(\vec{Q} - \vec{G}) \left| \sum_a f_a(\vec{Q}) e^{-W_a} e^{i\vec{Q} \cdot \vec{r}_a} \right|^2} \quad \text{Elastic cross section} \\
 & + \frac{k_f}{k_i} \frac{(2\pi)^3}{v_0} r_e^2 |\vec{\epsilon}_i \cdot \vec{\epsilon}_f|^2 \sum_{\vec{q} \in BZ} \delta(\vec{Q} - \vec{q} - \vec{G}) \sum_p \left| \sum_a \sqrt{\frac{\hbar}{m_a \omega_p(\vec{q})}} f_a(\vec{Q}) e^{-W_a} e^{i\vec{Q} \cdot \vec{r}_a} (\vec{Q} \cdot \vec{u}_{a,p}) \right|^2 \\
 & \times [(1 + n_B(\omega_p(\vec{q}), T)) \delta(\omega - \omega_p(\vec{q})) + n_B(\omega_p(\vec{q}), T) \delta(\omega + \omega_p(\vec{q}))] \\
 & + \frac{k_f}{k_i} \frac{(2\pi)^3}{v_0} r_e^2 |\vec{\epsilon}_i \cdot \vec{\epsilon}_f|^2 \\
 & \times \sum_{\vec{q}, \vec{q}' \in BZ} \delta(\vec{Q} - \vec{q} - \vec{q}' - \vec{G}) \frac{1}{2} \sum_{p, p'} \left| \sum_a \frac{\hbar}{m_a \sqrt{\omega_p(\vec{q}) \omega_{p'}(\vec{q}')}} f_a(\vec{Q}) e^{-W_a} e^{i\vec{Q} \cdot \vec{r}_a} (\vec{Q} \cdot \vec{u}_{a,p}) (\vec{Q} \cdot \vec{u}_{a,p'}) \right|^2 \\
 & \times \left[(1 + n_B(\omega_p(\vec{q}), T))(1 + n_B(\omega_{p'}(\vec{q}'), T)) \delta(\omega - \omega_p(\vec{q}) - \omega_{p'}(\vec{q}')) \right. \\
 & \quad + 2n_B(\omega_p(\vec{q}), T)(1 + n_B(\omega_{p'}(\vec{q}'), T)) \delta(\omega + \omega_p(\vec{q}) - \omega_{p'}(\vec{q}')) \\
 & \quad \left. + n_B(\omega_p(\vec{q}), T)n_B(\omega_{p'}(\vec{q}'), T) \delta(\omega + \omega_p(\vec{q}) + \omega_{p'}(\vec{q}')) \right]
 \end{aligned}$$

Inelastic X-ray Scattering

$$\begin{aligned}
 \frac{\partial^2 \sigma}{\partial \Omega \partial \omega} &= N \frac{(2\pi)^3}{v_0} r_e^2 |\vec{\epsilon}_i \cdot \vec{\epsilon}_f|^2 \sum_{\vec{G} \in RS} \delta(\vec{Q} - \vec{G}) \left| \sum_a f_a(\vec{Q}) e^{-W_a} e^{i\vec{Q} \cdot \vec{r}_a} \right|^2 \\
 &+ \frac{k_f}{k_i} \frac{(2\pi)^3}{v_0} r_e^2 |\vec{\epsilon}_i \cdot \vec{\epsilon}_f|^2 \sum_{\vec{q} \in BZ} \delta(\vec{Q} - \vec{q} - \vec{G}) \sum_p \left| \sum_a \sqrt{\frac{\hbar}{m_a \omega_p(\vec{q})}} f_a(\vec{Q}) e^{-W_a} e^{i\vec{Q} \cdot \vec{r}_a} (\vec{Q} \cdot \vec{u}_{a,p}) \right|^2 \\
 &\times [(1 + n_B(\omega_p(\vec{q}), T)) \delta(\omega - \omega_p(\vec{q})) + n_B(\omega_p(\vec{q}), T) \delta(\omega + \omega_p(\vec{q}))] \\
 &+ \frac{k_f}{k_i} \frac{(2\pi)^3}{v_0} r_e^2 |\vec{\epsilon}_i \cdot \vec{\epsilon}_f|^2 \quad \mathbf{1 \text{ phonon inelastic cross section}} \\
 &\times \sum_{\vec{q}, \vec{q}' \in BZ} \delta(\vec{Q} - \vec{q} - \vec{q}' - \vec{G}) \frac{1}{2} \sum_{p, p'} \left| \sum_a \frac{\hbar}{m_a \sqrt{\omega_p(\vec{q}) \omega_{p'}(\vec{q}')}} f_a(\vec{Q}) e^{-W_a} e^{i\vec{Q} \cdot \vec{r}_a} (\vec{Q} \cdot \vec{u}_{a,p}) (\vec{Q} \cdot \vec{u}_{a,p'}) \right|^2 \\
 &\times \left[(1 + n_B(\omega_p(\vec{q}), T))(1 + n_B(\omega_{p'}(\vec{q}'), T)) \delta(\omega - \omega_p(\vec{q}) - \omega_{p'}(\vec{q}')) \right. \\
 &\quad + 2n_B(\omega_p(\vec{q}), T)(1 + n_B(\omega_{p'}(\vec{q}'), T)) \delta(\omega + \omega_p(\vec{q}) - \omega_{p'}(\vec{q}')) \\
 &\quad \left. + n_B(\omega_p(\vec{q}), T)n_B(\omega_{p'}(\vec{q}'), T) \delta(\omega + \omega_p(\vec{q}) + \omega_{p'}(\vec{q}')) \right]
 \end{aligned}$$

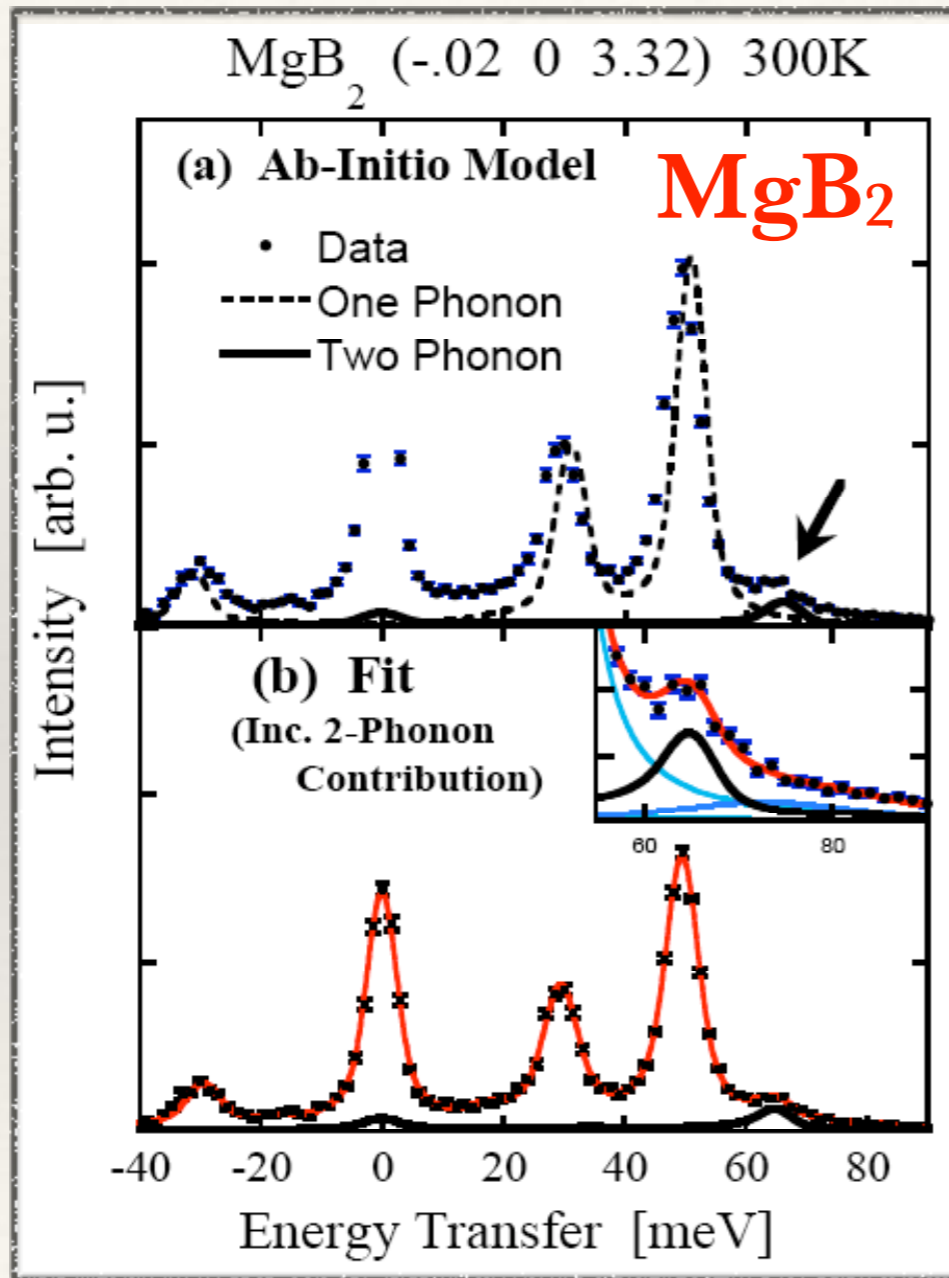
Inelastic X-ray Scattering

$$\begin{aligned} \frac{\partial^2 \sigma}{\partial \Omega \partial \omega} = & N \frac{(2\pi)^3}{v_0} r_e^2 |\vec{\epsilon}_i \cdot \vec{\epsilon}_f|^2 \sum_{\vec{G} \in RS} \delta(\vec{Q} - \vec{G}) \left| \sum_a f_a(\vec{Q}) e^{-W_a} e^{i\vec{Q} \cdot \vec{r}_a} \right|^2 \\ & + \frac{k_f}{k_i} \frac{(2\pi)^3}{v_0} r_e^2 |\vec{\epsilon}_i \cdot \vec{\epsilon}_f|^2 \sum_{\vec{q} \in BZ} \delta(\vec{Q} - \vec{q} - \vec{G}) \sum_p \left| \sum_a \sqrt{\frac{\hbar}{m_a \omega_p(\vec{q})}} f_a(\vec{Q}) e^{-W_a} e^{i\vec{Q} \cdot \vec{r}_a} (\vec{Q} \cdot \vec{u}_{a,p}) \right|^2 \\ & \times [(1 + n_B(\omega_p(\vec{q}), T)) \delta(\omega - \omega_p(\vec{q})) + n_B(\omega_p(\vec{q}), T) \delta(\omega + \omega_p(\vec{q}))] \end{aligned}$$

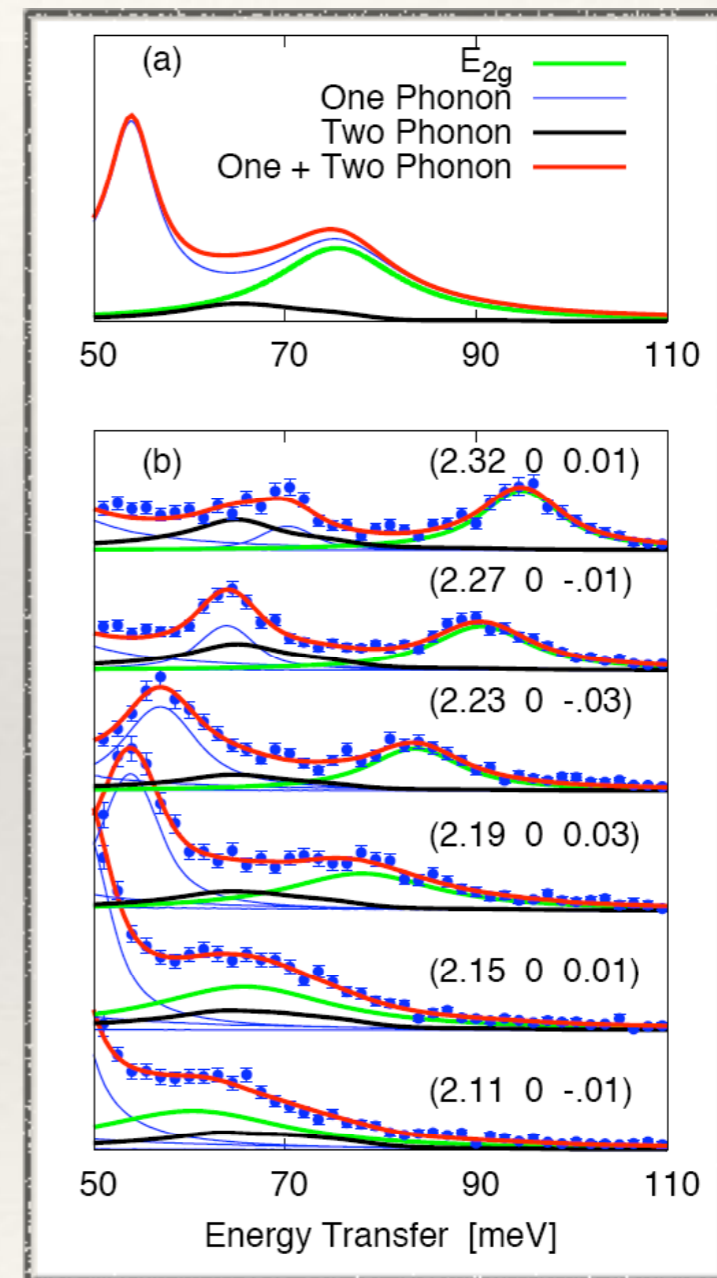
2 phonons inelastic cross section

$$\begin{aligned} & + \frac{k_f}{k_i} \frac{(2\pi)^3}{v_0} r_e^2 |\vec{\epsilon}_i \cdot \vec{\epsilon}_f|^2 \\ & \times \sum_{\vec{q}, \vec{q}' \in BZ} \delta(\vec{Q} - \vec{q} - \vec{q}' - \vec{G}) \frac{1}{2} \sum_{p, p'} \left| \sum_a \frac{\hbar}{m_a \sqrt{\omega_p(\vec{q}) \omega_{p'}(\vec{q}')}} f_a(\vec{Q}) e^{-W_a} e^{i\vec{Q} \cdot \vec{r}_a} (\vec{Q} \cdot \vec{u}_{a,p}) (\vec{Q} \cdot \vec{u}_{a,p'}) \right|^2 \\ & \times \left[(1 + n_B(\omega_p(\vec{q}), T))(1 + n_B(\omega_{p'}(\vec{q}'), T)) \delta(\omega - \omega_p(\vec{q}) - \omega_{p'}(\vec{q}')) \right. \\ & \quad + 2n_B(\omega_p(\vec{q}), T)(1 + n_B(\omega_{p'}(\vec{q}'), T)) \delta(\omega + \omega_p(\vec{q}) - \omega_{p'}(\vec{q}')) \\ & \quad \left. + n_B(\omega_p(\vec{q}), T)n_B(\omega_{p'}(\vec{q}'), T) \delta(\omega + \omega_p(\vec{q}) + \omega_{p'}(\vec{q}')) \right] \end{aligned}$$

Inelastic X-ray Scattering



Phys. Rev. B, 75 020505(R) (2007)



Inelastic X-ray Scattering

INS	IXS
Strong ω - Q correlation : Kinematic limit	No ω - Q correlation : No kinematic limitation
No polarization factor	Polarization factor : $ \vec{\epsilon}_i \cdot \vec{\epsilon}_f ^2$.
Intensity $\sim b^2$	Intensity $\sim Z^2$
Incoherent Scattering	No incoherent Scattering
Bulk measurement	Strong absorption $\sim \lambda^3 Z^4$
Large beam \sim cm	Small beam $\sim 100 \mu\text{m}$
Resolution down to 0.1 meV	Resolution ~ 1 meV

The end...

